

Prognostic Enhancements to Diagnostic Systems

Impact Technologies, LLC Phase II SBIR

Contract Number: N00167-01-C-0064

Michael J. Roemer, Ph.D.

Impact Technologies, LLC
125 Tech Park Drive
Rochester, NY 14623
716-424-1990

mike.roemer@impact-tek.com

Carl Byington, P.E.

Matthew Watson

Kurt Grieb

Impact Technologies, LLC
220 Regent Court
State College, PA 16801
814-861-6273

carl.byington@impact-tek.com

Mitchell Lebold

Penn State Applied Research Laboratory
Condition-based Maintenance Department
814-865-8958

lebold@psu.edu



Agenda

0830-0835	Introductions	Galie
0835-0900	PEDS Vision and Program Objectives	Byington, Roemer
0900-0930	Phase II Objectives, Workplan, Schedule	Byington
0930-0950	OSA and Prognostics Architecture Development	Byington, Watson
0950-1020	Specific Module Development Status <ul style="list-style-type: none">• Power Transmission, Gas Turbine, Diesel	Byington, Watson
1020-1030	Break	All
1030-1050	Module Integration and Demonstration <ul style="list-style-type: none">• ICAS, GT CBM, FADEC	Byington, Watson
1050-1110	Deliverable Status and Near-Term Milestones <ul style="list-style-type: none">• MARCON Session and Paper• ICAS Integration & Transition Planning	Byington
1110-1200	Open Discussion and Action Items	All
1200	Adjourn	



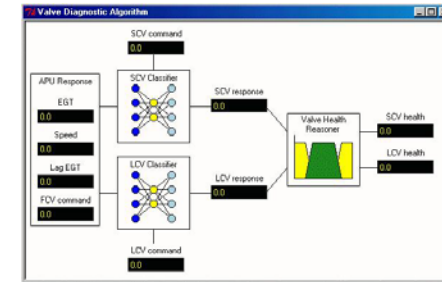
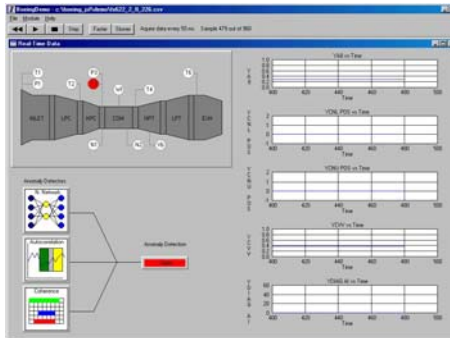
PEDS Vision and Program Objectives

Impact Technologies Core Expertise

Maintenance Planning

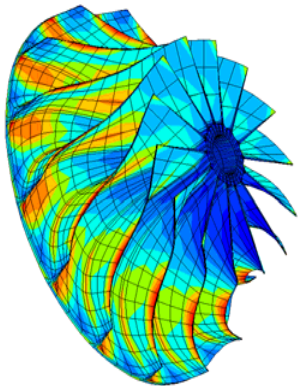
Real-Time Health
Monitoring

Diagnostics / Prognostics



Life-Cycle Cost Reduction

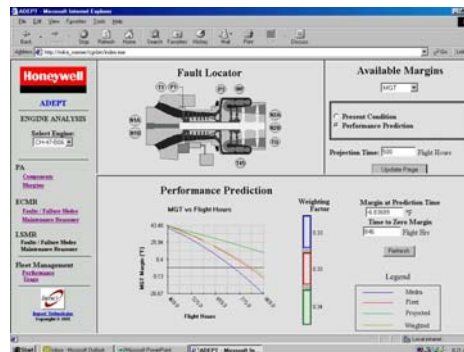
Engineering
Analysis and Design



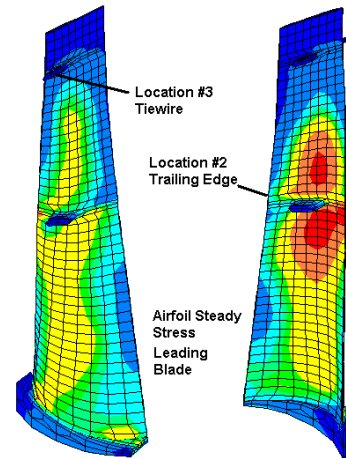
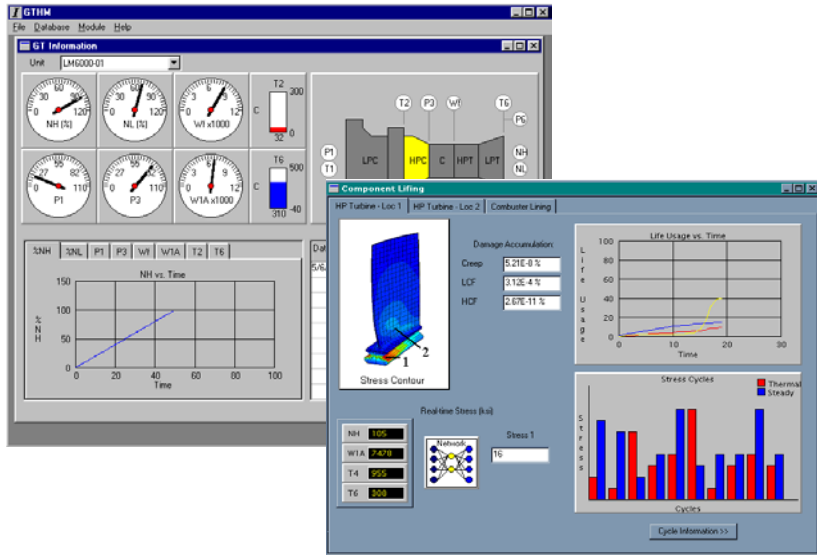
Performance,
Vibration & Structural
Testing



Web-Based Applications

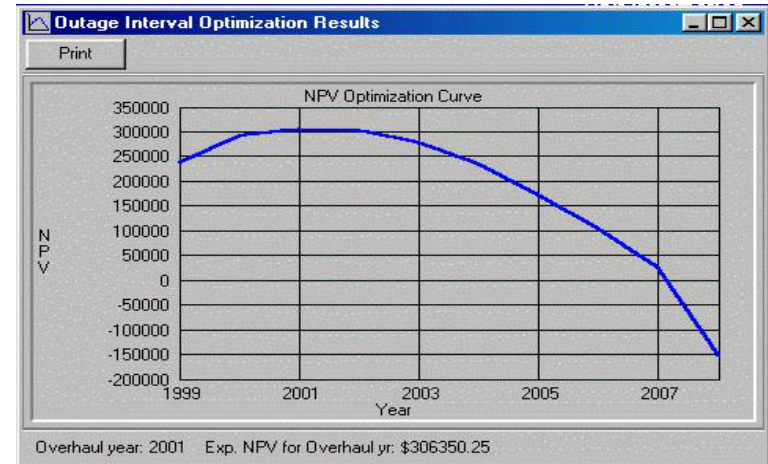


GT-Health Monitor



BladePro And ImPro FE Software

Turbo-X Maintenance Optimization



Turbo-Health Web Monitor



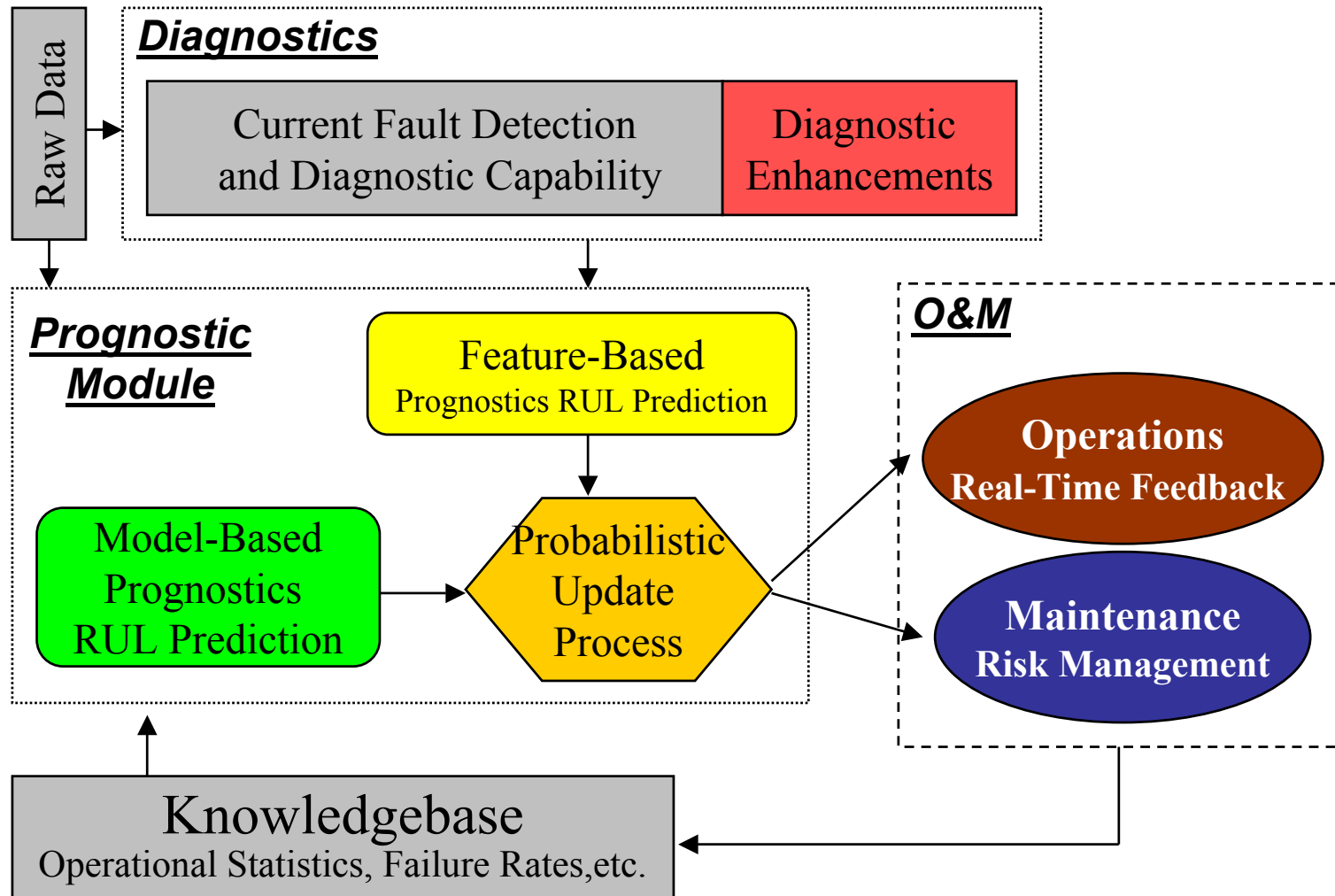
PEDS Vision Statements

- Develop machinery data analysis and software engineering tools for designing material condition prognostic modules.
- Deliver working prognostic software modules for specific types of maintenance events on specific components of Gas Turbine or Diesel engines and power transmission systems.
- Install software modules either on a marine Gas Turbine system's shipboard central condition monitoring system (i.e., ICAS) or a local microprocessor based controller or distributed between the local controller and central monitor.
- Demonstrate a process for designing families of machinery condition prognostic software modules.
- Deliver initial prognostic software modules, software design specifications and advanced data analysis algorithms at the conclusion of the Phase II.

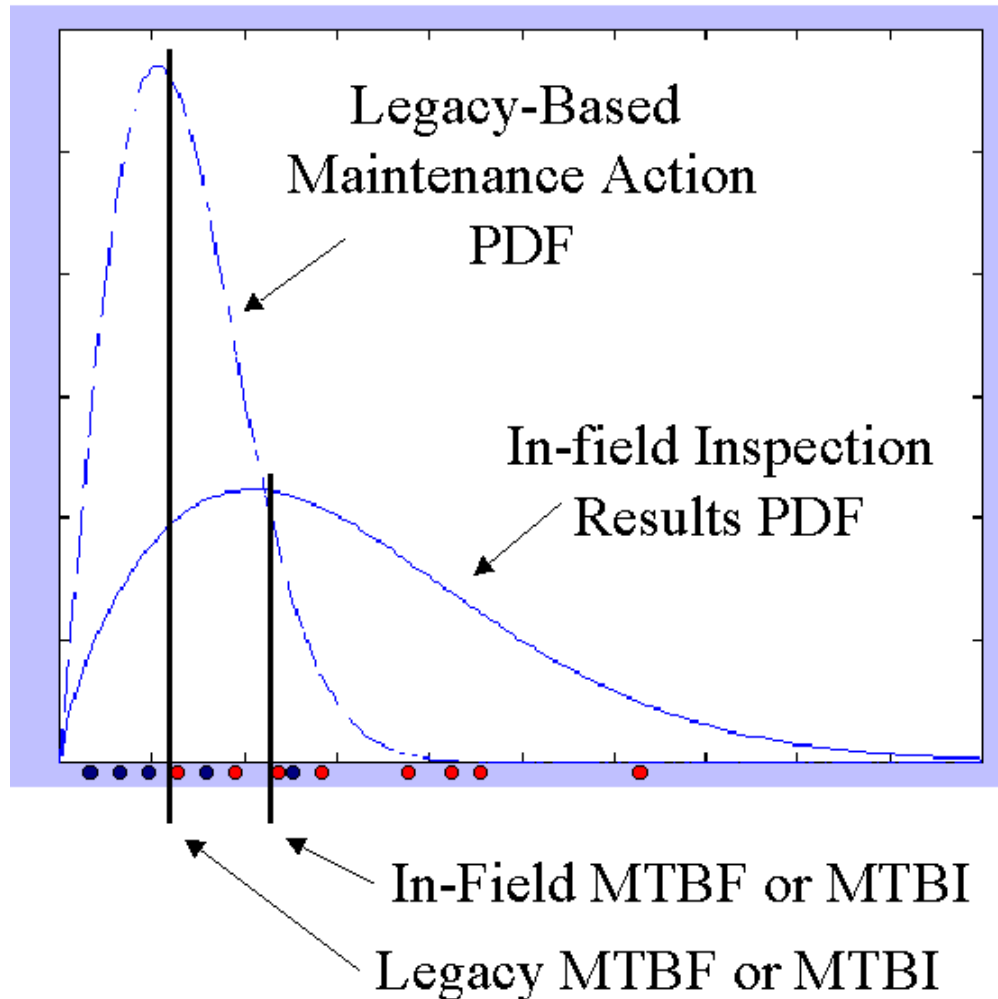
Phase III Follow-On

- Deliver prognostic module tools for use by both the private and public sectors of the industrial base with ultimate integration of CBM prognostic technology into the future TSC HM&E systems.
- Introduced families of prognostic software modules in the FY05 to FY06 timeframe.

Prognostic Module Overview



Experience or Historical-based Predictions

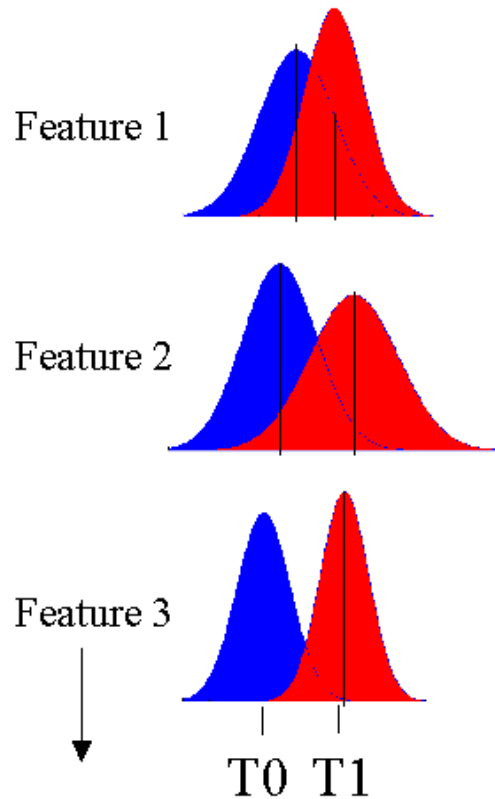


- Weibull Formulation
- Update Capability

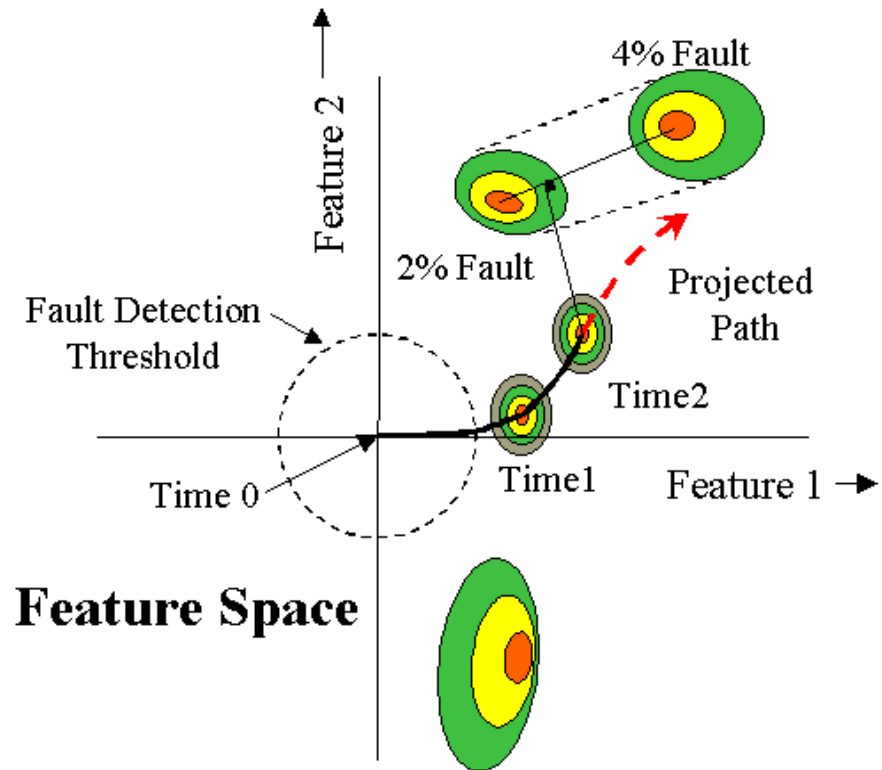
- New Data
- Legacy Data

Evolutionary/Trending Prognostics

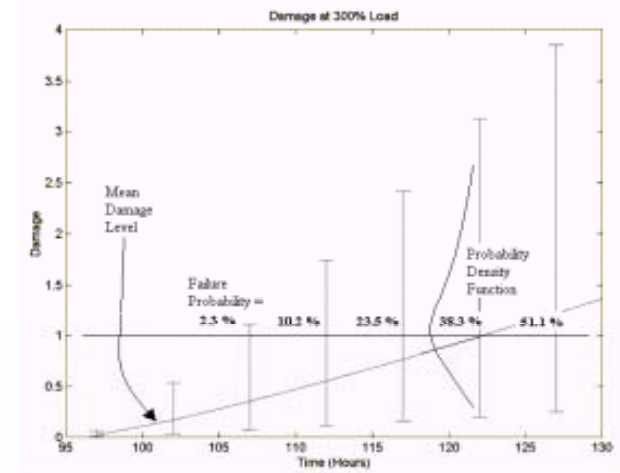
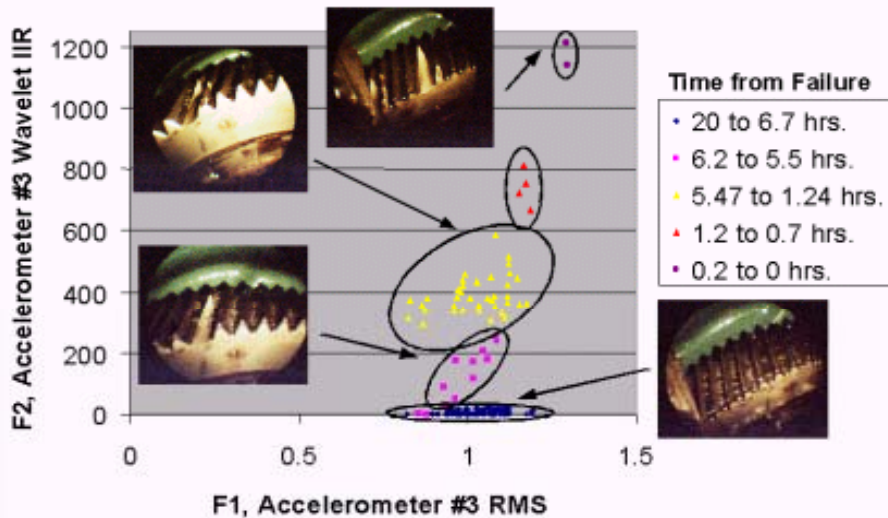
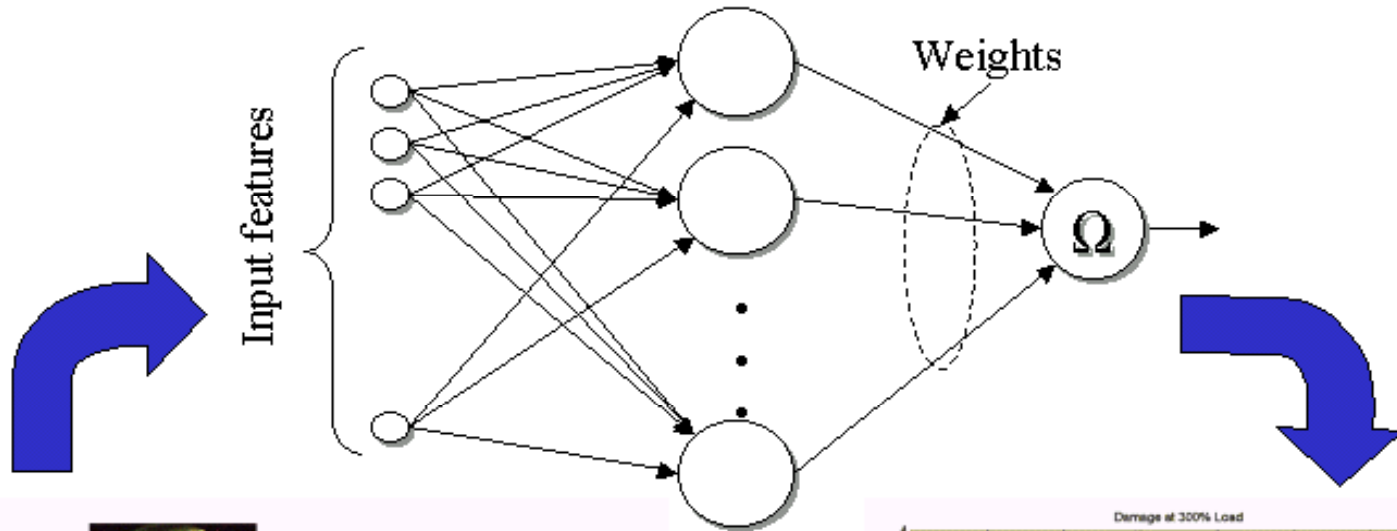
Statistical Feature Shifts over Time



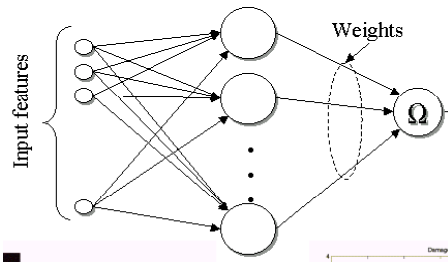
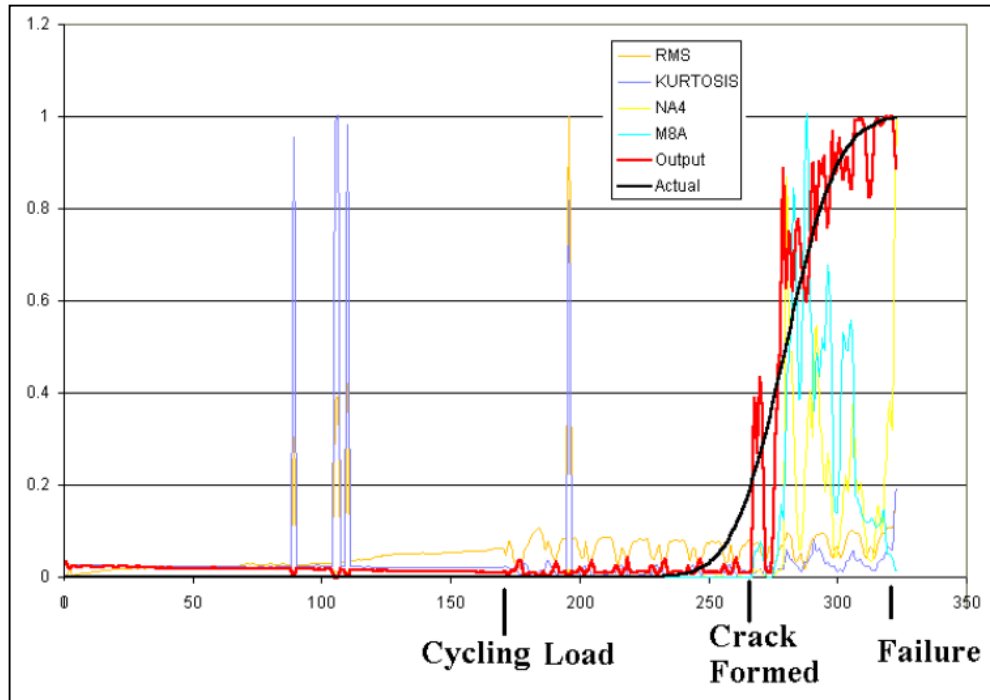
Track and Predict Path



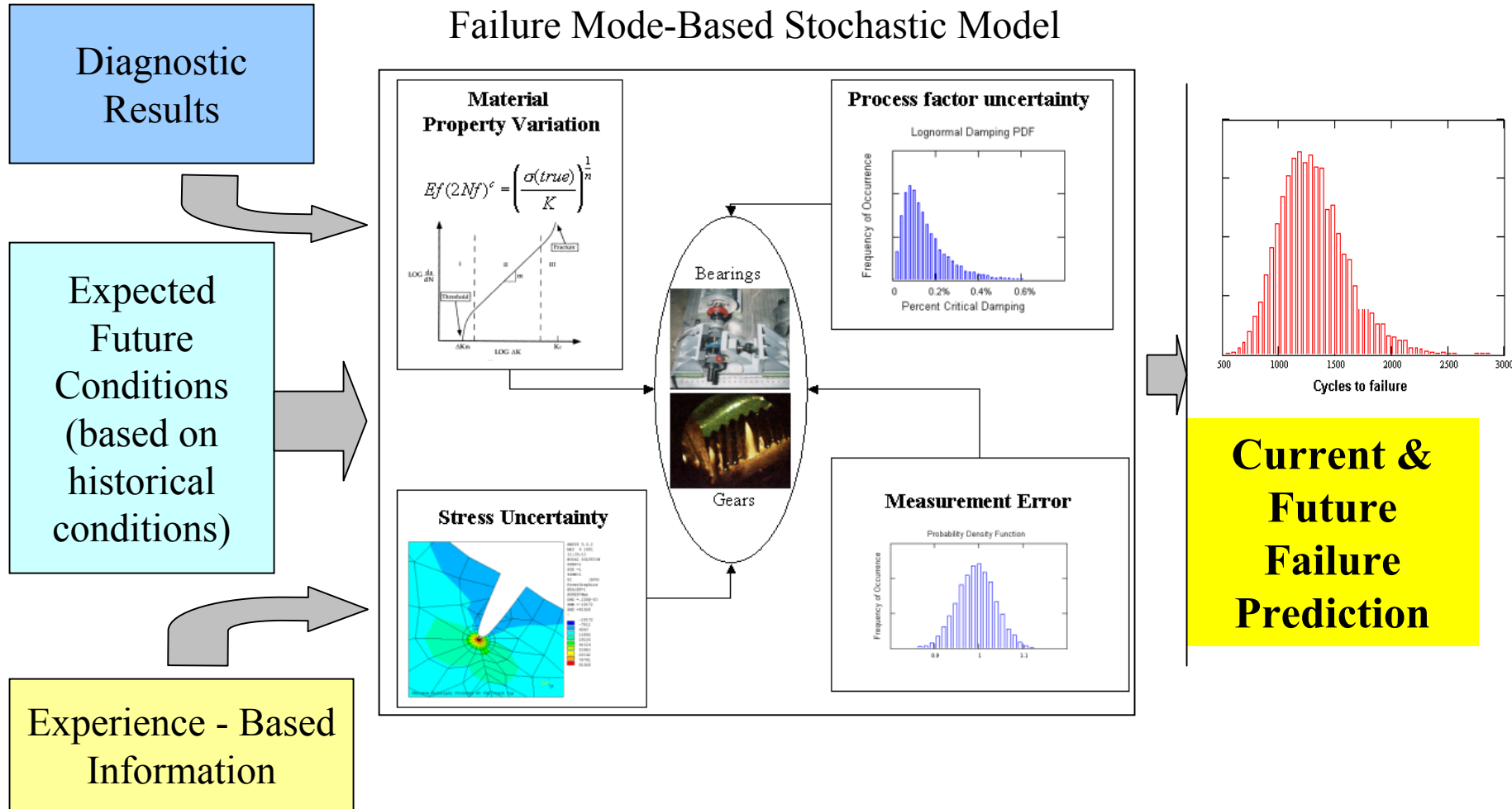
Feature and AI-based Prognostics



Feature-Based Prognostics



- Multiple features available to detect and diagnose failure modes in mechanical systems
- Fusion of features produces improved fault detection and damage assessment with reduced false alarms
- Neural network trained to associate the fused output with fault progression
- Automated algorithms predict damage onset and progression





Phase II Objectives, Workplan and Schedule

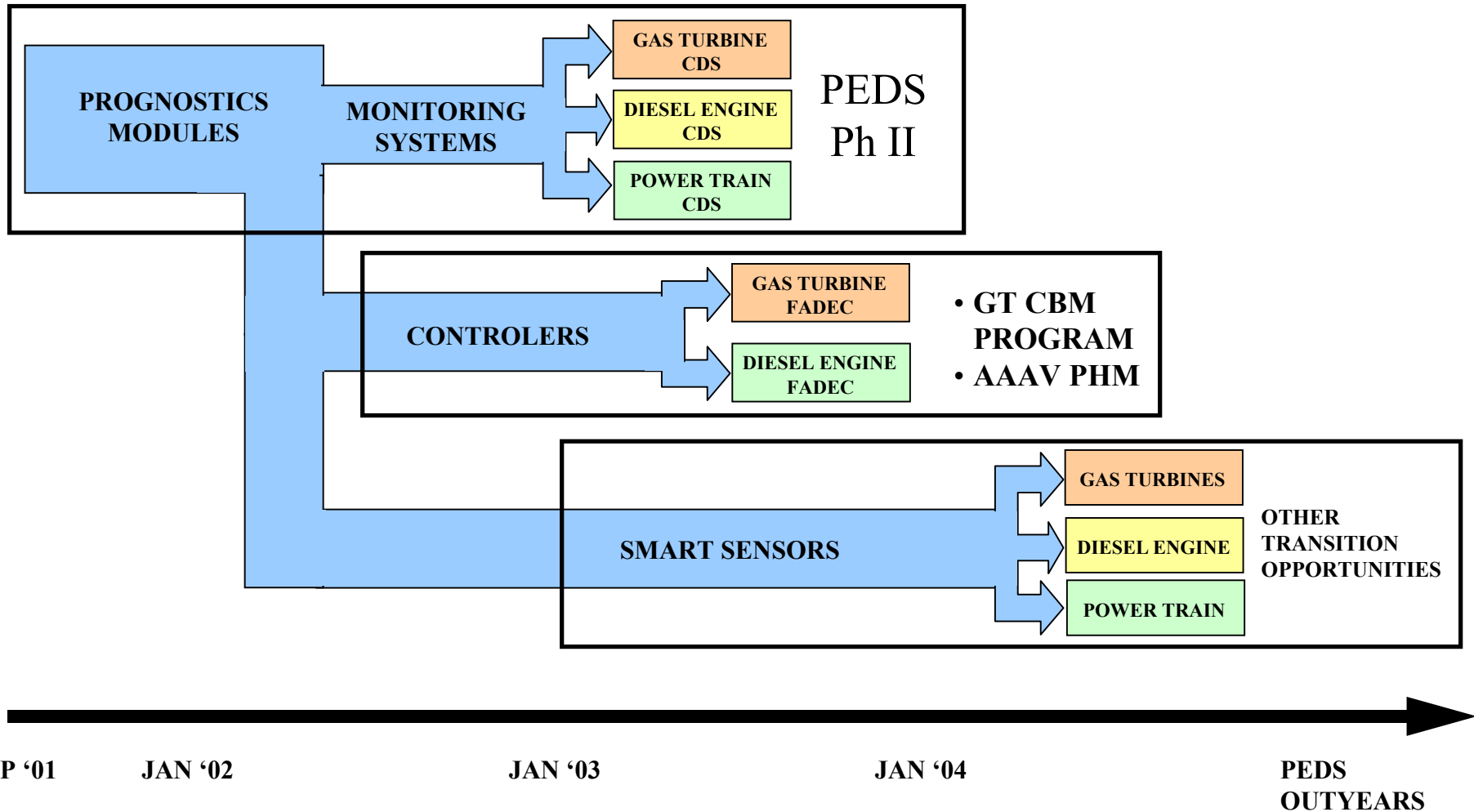
Phase II Objectives

- Demonstrate Architectures and Specifications for Prognostic Enhancements to Diagnostic Systems
- Demonstrate Prognostic Modules in Gas Turbine, Power Systems and Diesel Applications
 - Implement Phase I gear module in PEDS architecture using OSA data flows with XML schema and simple web interfaces.
 - Leverage and integrate existing GT-CBM Waterwash Module – (focused specifically on ICAS integration)
 - Develop Gas Turbine “Hot Section” Component Prognostic Module with advanced lifing/thermo models
 - Perform specific failure mode tests and produce prototype diesel engine prognostic module – (fuel pump, compression loss, etc.)
 - Utilize testbed and field data for validation & calibration
 - Produce appropriate HSI modules for demonstration

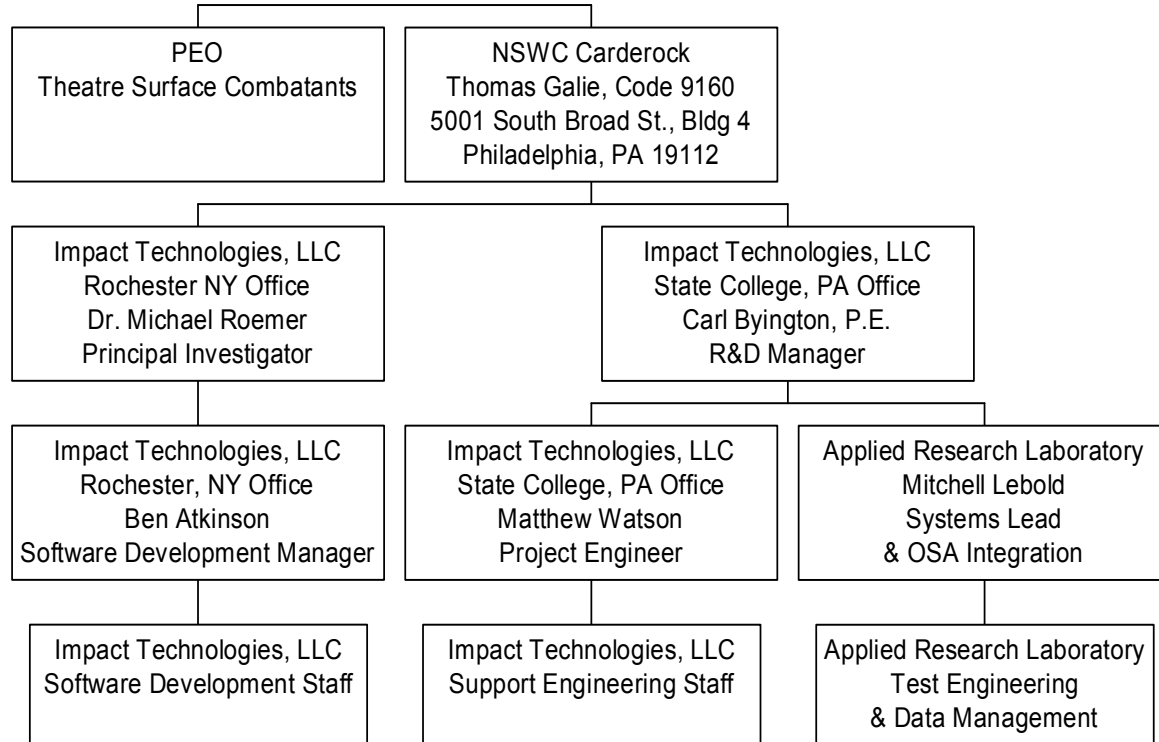
Summary of Phase II Plans

- Prognostic Module Development for Specific PEO-TSC Applications.
 - Feature-Based and Model-Based Integrated Architecture
 - Prognostic Module Tools and Processes
 - Specific Testing for Diesel Prognostics Development
 - Implementing using OSA-CBM Functional Hierarchy
- Identify and Integrate into Selected Naval Ship Application(s).
 - Customize Methodology for Specific Application Integration
 - Integration as ICAS Module or Other Specified Platform
 - Utilize Test and Field Data for Validation & Calibration
 - Build upon existing or use Separate Web-based HSI Concept
- Document Methods, Processes, Lessons Learned for Enhancing Existing Navy Diagnostic Systems

PEDS Timeline



Project Organization



Task 1 - Develop / Mature
Generic Prognostic Modeling
Approach

Task 2 - Develop Application
Specific Prognostic Algorithms

Task 3 - Integrate Data/
Knowledge Fusion Strategies

Task 4 - Prognostic Module
Coding and Applications
Development

Task 5 - Testing and Verification
of Prognostic Modules

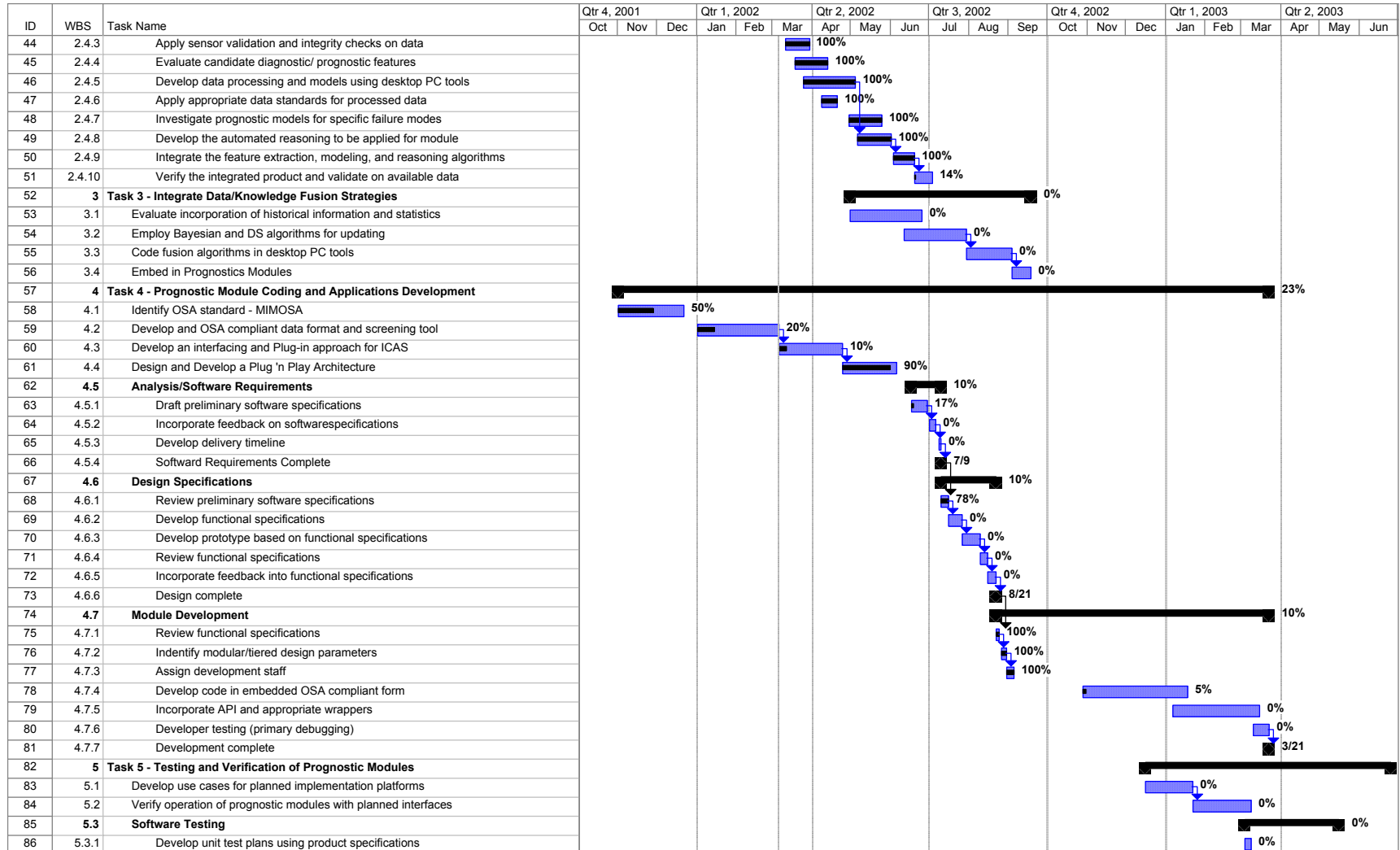
Task 6 - Implementation in
NSC/LBES shipboard
application

Task 7 - Complete HSI
development and OSA Tool

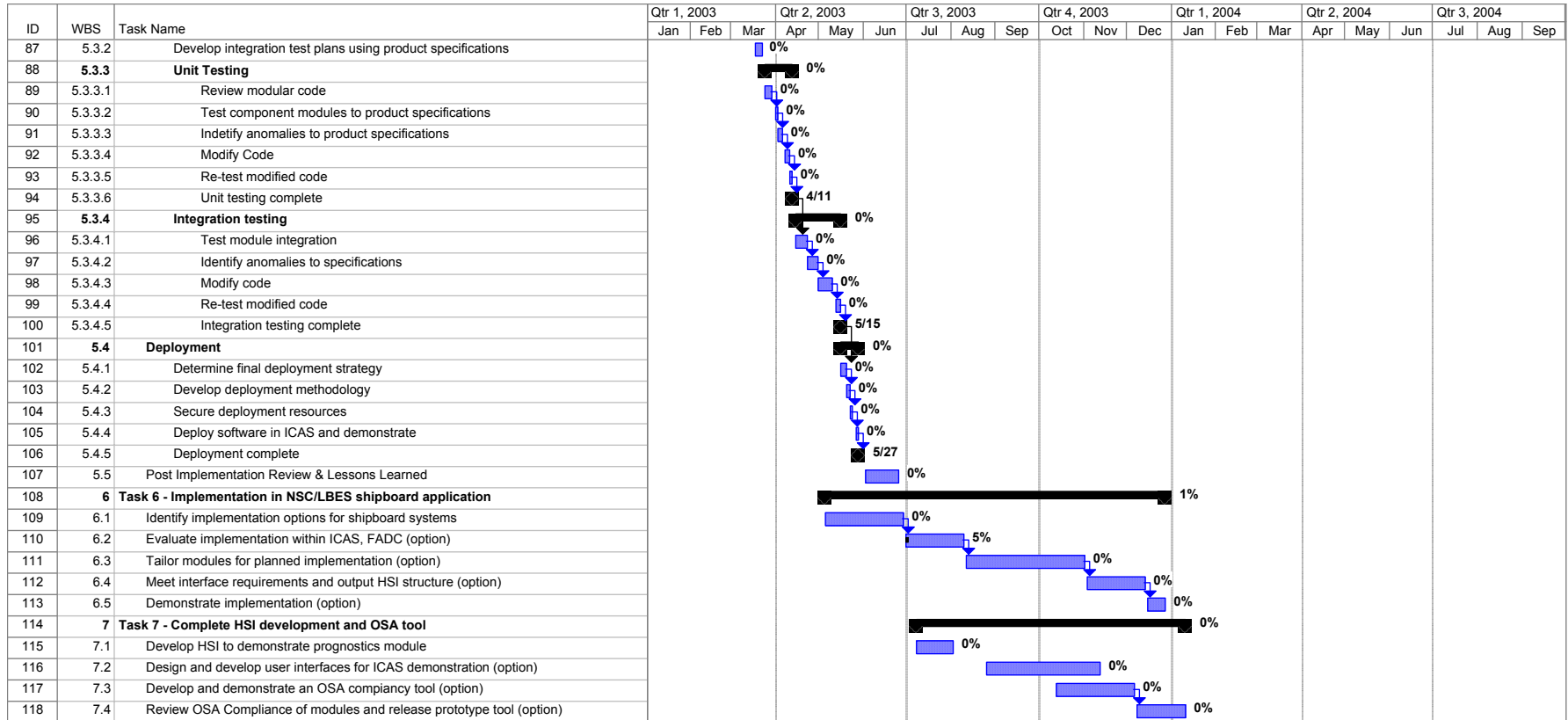
Detailed Program Plan (1)



Detailed Program Plan (2)



Detailed Program Plan (3)





WBS 1 - Prognostic Architecture Development



- Develop Module Construction and Software Architecture to enable prognostic enhancements using open systems approach
- Accommodate a range of different prognostic approaches and machinery applications
- Exercise architecture over range of prognostic modules types/applications to verify functionality
- Demonstrate in existing diagnostic systems
- Provide guidance for future diagnostic and prognostic system developments to Navy



WBS 2.1 - Gas Turbine Performance Prognostics Module



- Compressor Water Wash Algorithms originally developed for LM2500 and Allison 501-K34 GT Engines on USS Laboon (NSWCCD-SSES Code 9334)
- Predict compressor performance degradation rates due to salt deposit ingestion using LBES data sets
- Outputs optimum time for on-line water washing or crank washing from a cost/benefit standpoint
- Combines Evolutionary-Based (Probabilistic Analysis) and Historical/Experience Based (Fouling Test Results) approaches using advanced forecasting methods
- Implementation candidate for ICAS deployment
 - Written in C++ Compiled as dll using DDI
 - Pseudo-sensor output of module

Dll – Dynamic Link Library

DDI- Demand Data Interface



WBS 2.2 - Gas Turbine Component Prognostics Module



- Investigating feasibility of turbine section blade module for LM2500 with LCM
- May require reverse engineering blade geometry to produce FE model
- Utilize stress and life usage prediction methods
- Couple with performance model for thermomechanical fatigue prognostics
- Transition through ICAS and Gas Turbine CBM program

LCM – Life Cycle Manager

WBS 2.3 - Diesel Engine Prognostics Module

- Collect steady state performance and transient event data on laboratory engine (DEMDTB)
- Identify specific low bandwidth features for performance fault classification and trending
- Develop Evolutionary Prognostics approach based upon statistical trackers
- Identify high bandwidth features to track clogged injectors, fuel pump failure, and cylinder problems
- Develop State Space and AI based prognostics modules
- Potential implementation within ICAS or diesel engine controller
 - Written in C++ compiled as shared library

WBS 2.4 - Power Transmission Component Prognostics Module

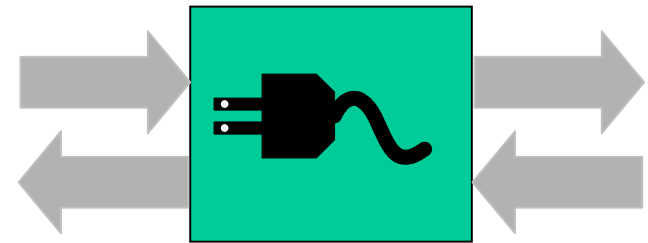
- Extension of Gear Prognostic Module developed in Phase I to include OSA-CBM constructs and reorganized into Prognostics Architecture
- Predicts MTTF using a combination of Model-Based (Physics) and Feature-Based Prognostic approaches (Dempster Shafer Fusion)
- Outputs MTTF and Probability of Failure with Confidence Bounds
- Implemented using Java Native Interface (JNI) language
 - Extension of Java (Sun) allows calls to native (legacy) code (C, C++, etc)
 - Development environment readily supports multiple middleware
- Potential transition through smart sensing developments



OSA and Prognostics Architecture Development

Open System Architecture Attributes

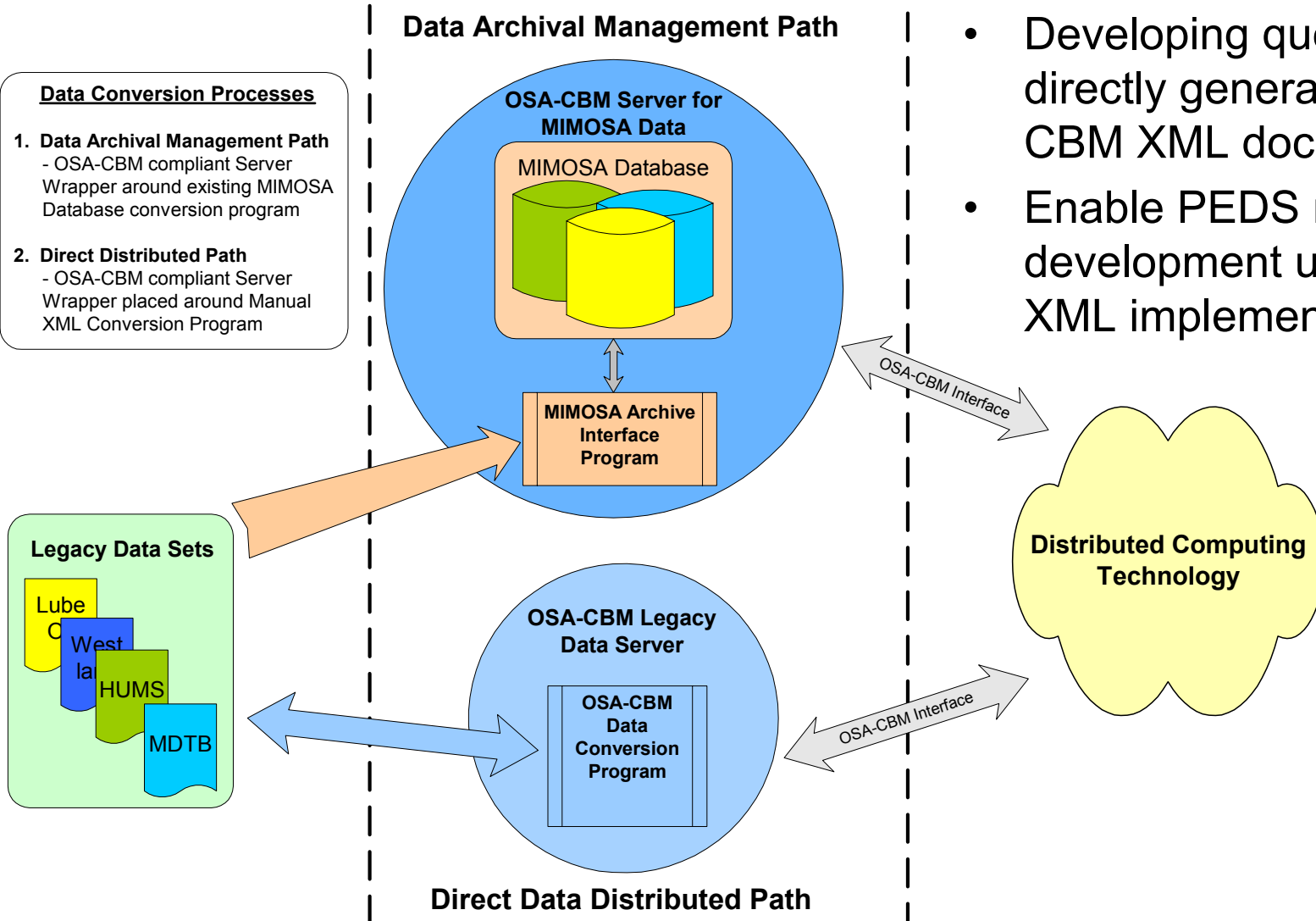
- Non-proprietary standard interface format
(ie. A publicly known input and output interface specification)
- Independent sub-module design technology
- Allows any 3rd party to use a system module within their system
- MIMOSA CRIS and OSA-CBM Functional Architectures
- Communications between modules is accomplished using established middleware technologies



Using MIMOSA Databases for PEDS Developments

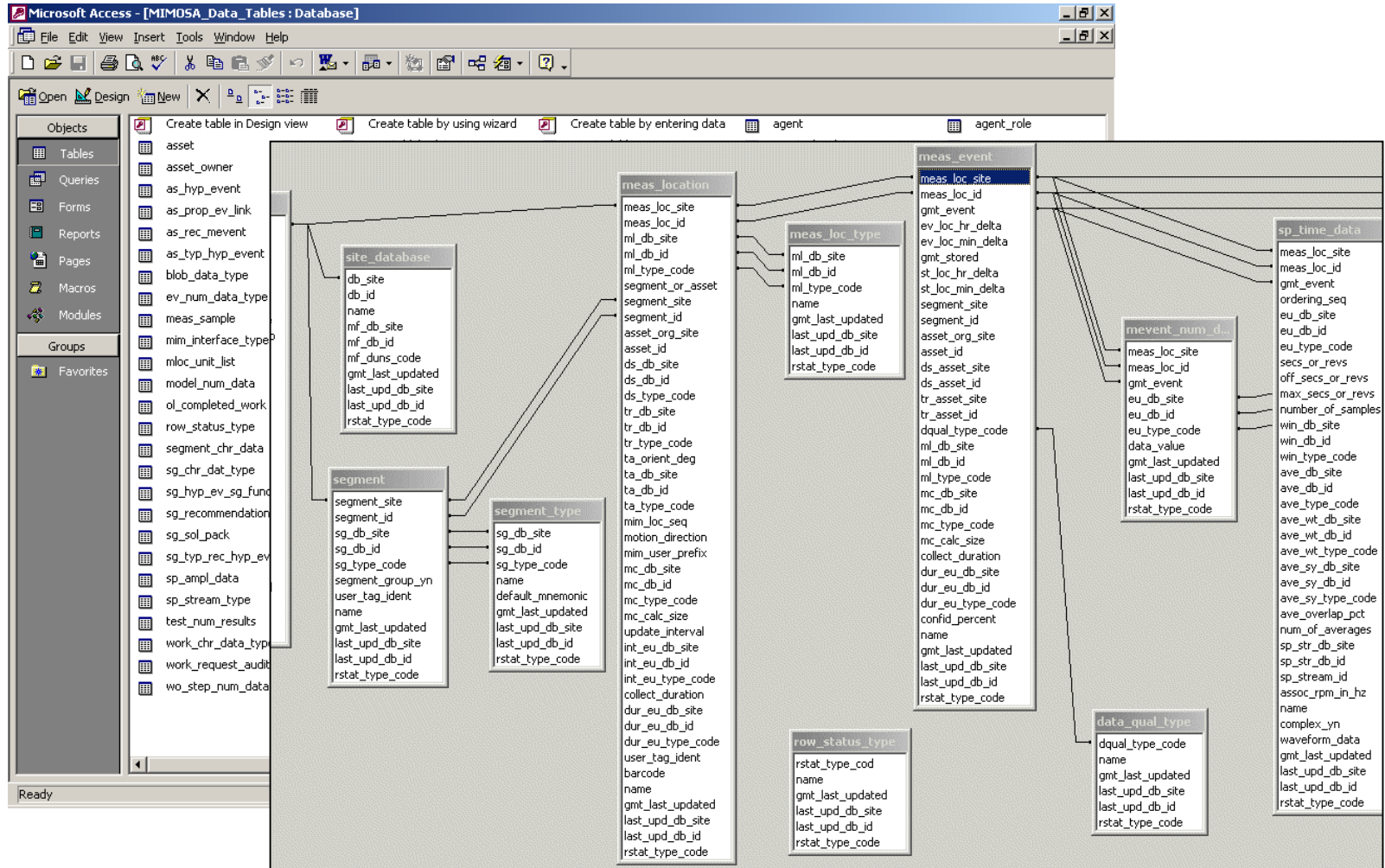
Data Conversion Processes

1. **Data Archival Management Path**
- OSA-CBM compliant Server Wrapper around existing MIMOSA Database conversion program
2. **Direct Distributed Path**
- OSA-CBM compliant Server Wrapper placed around Manual XML Conversion Program



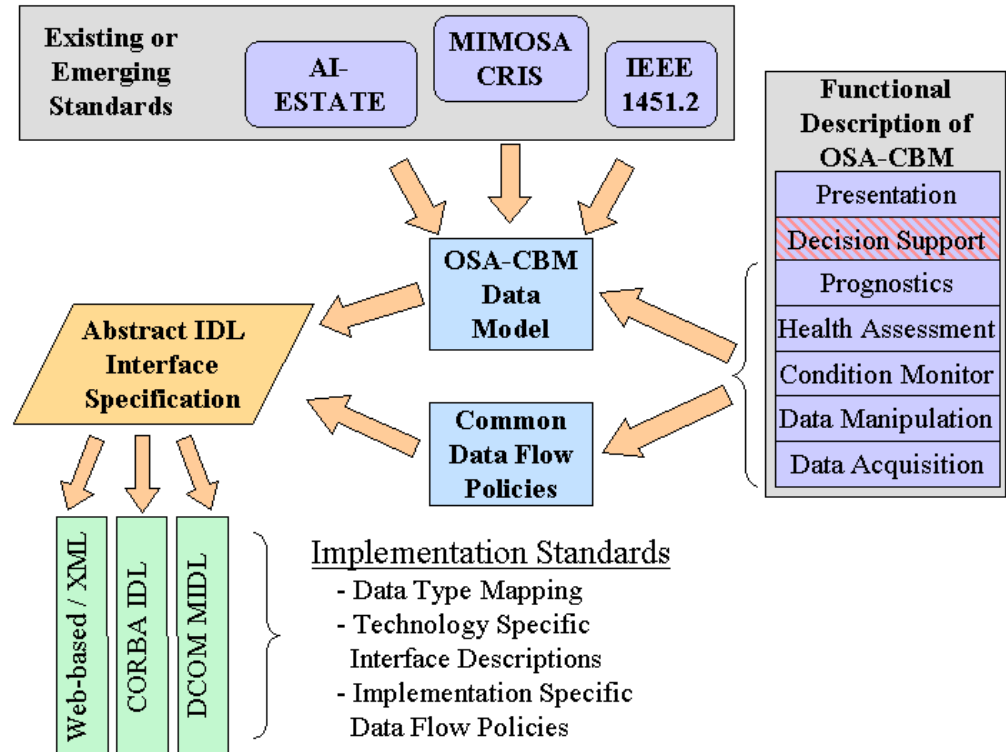
- Developing queries to directly generate OSA-CBM XML documents
- Enable PEDS module development using XML implementations

Microsoft Access Implementation



OSA-CBM Data Models and Flows

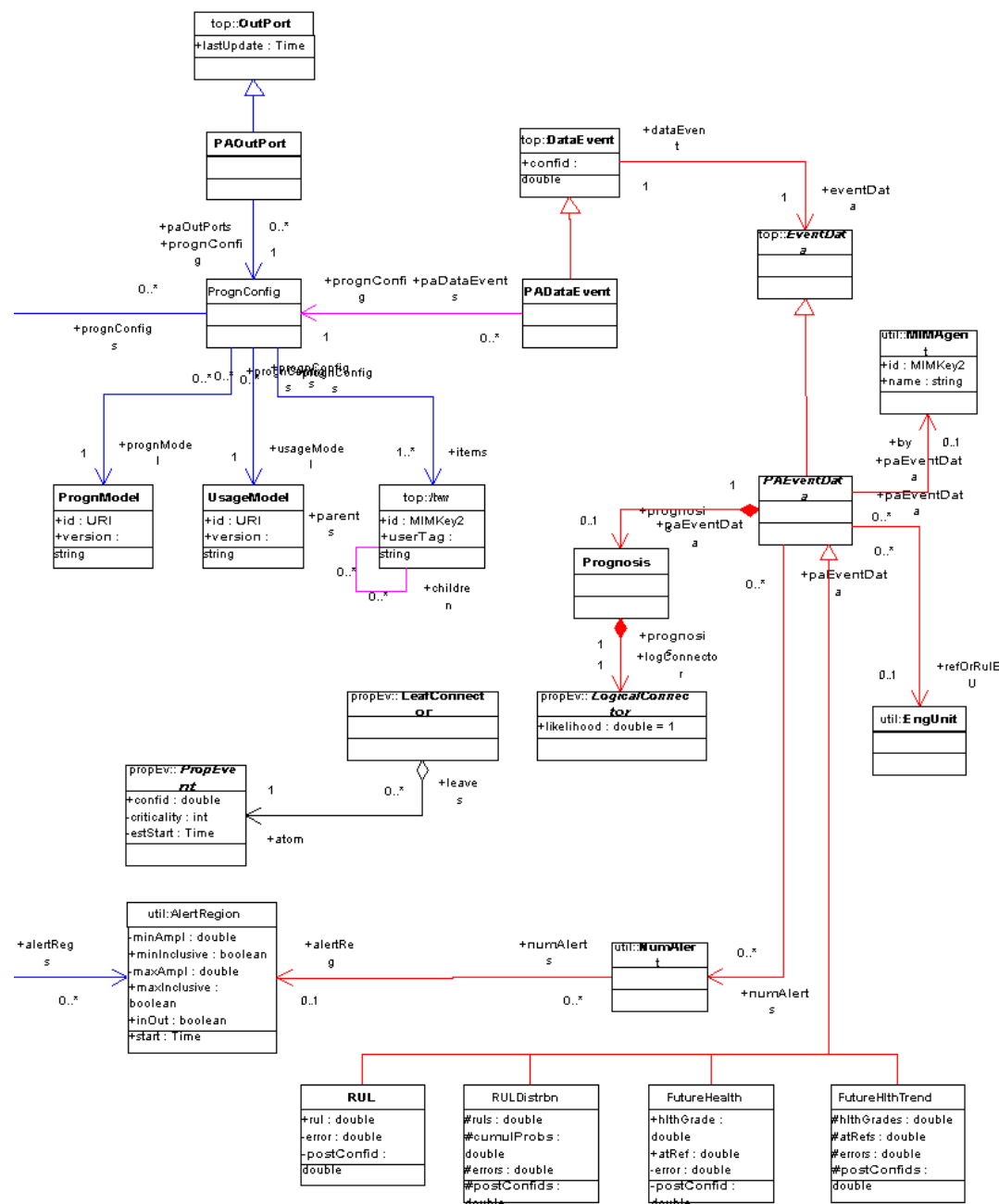
- Object-oriented Data Model defined using UML (Unified Modeling Language)
 - OSA-CBM Release 1.00
- Encapsulation of proprietary algorithms within modules and requirements for external interfaces at each functional level
- Time-based or Event-based push of information
- Association of information consumers with triggered events
- Abstract IDL (Interface Definition Language) describes common structures and interface configurations



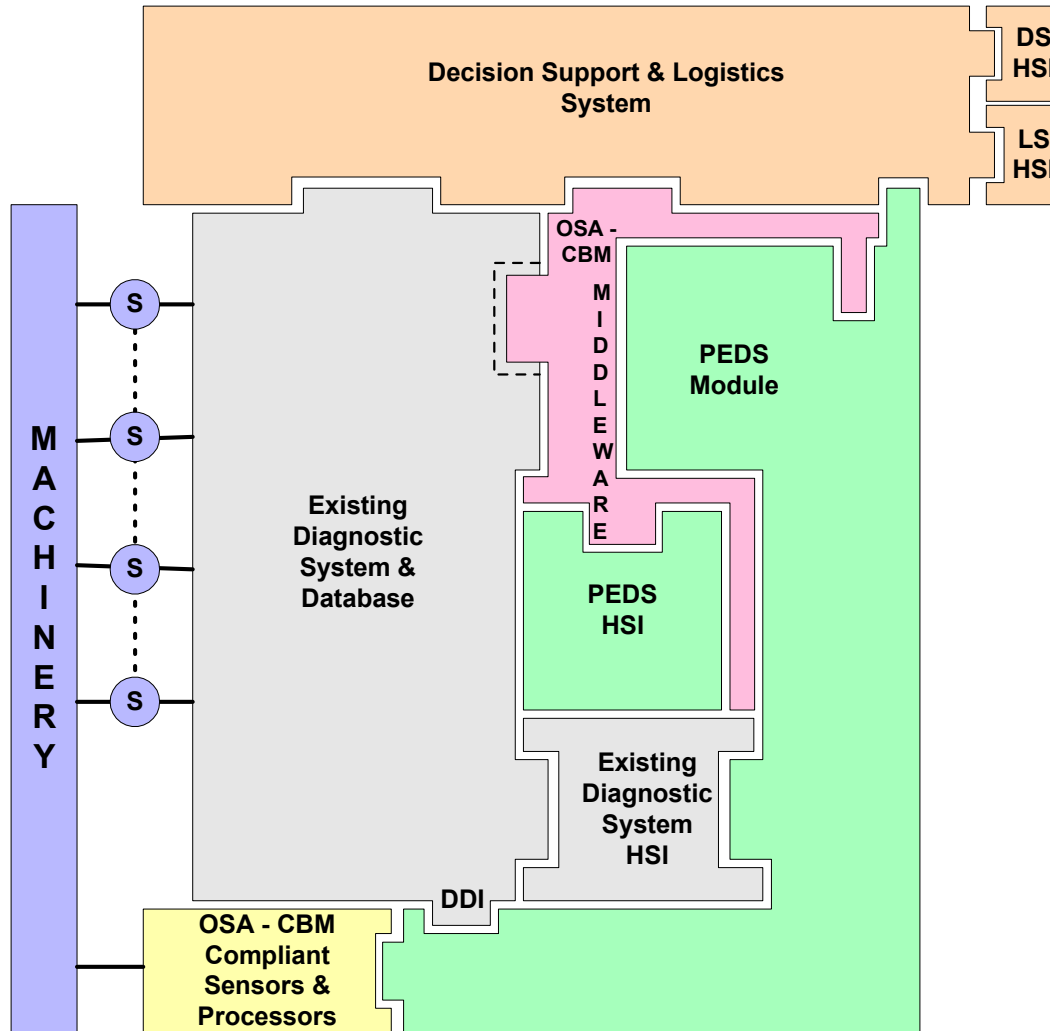
- Bridges are necessary to operate between middleware IDL's

OSA-CBM UML Model of a Prognostic Layer

UML: Unified Modeling Language



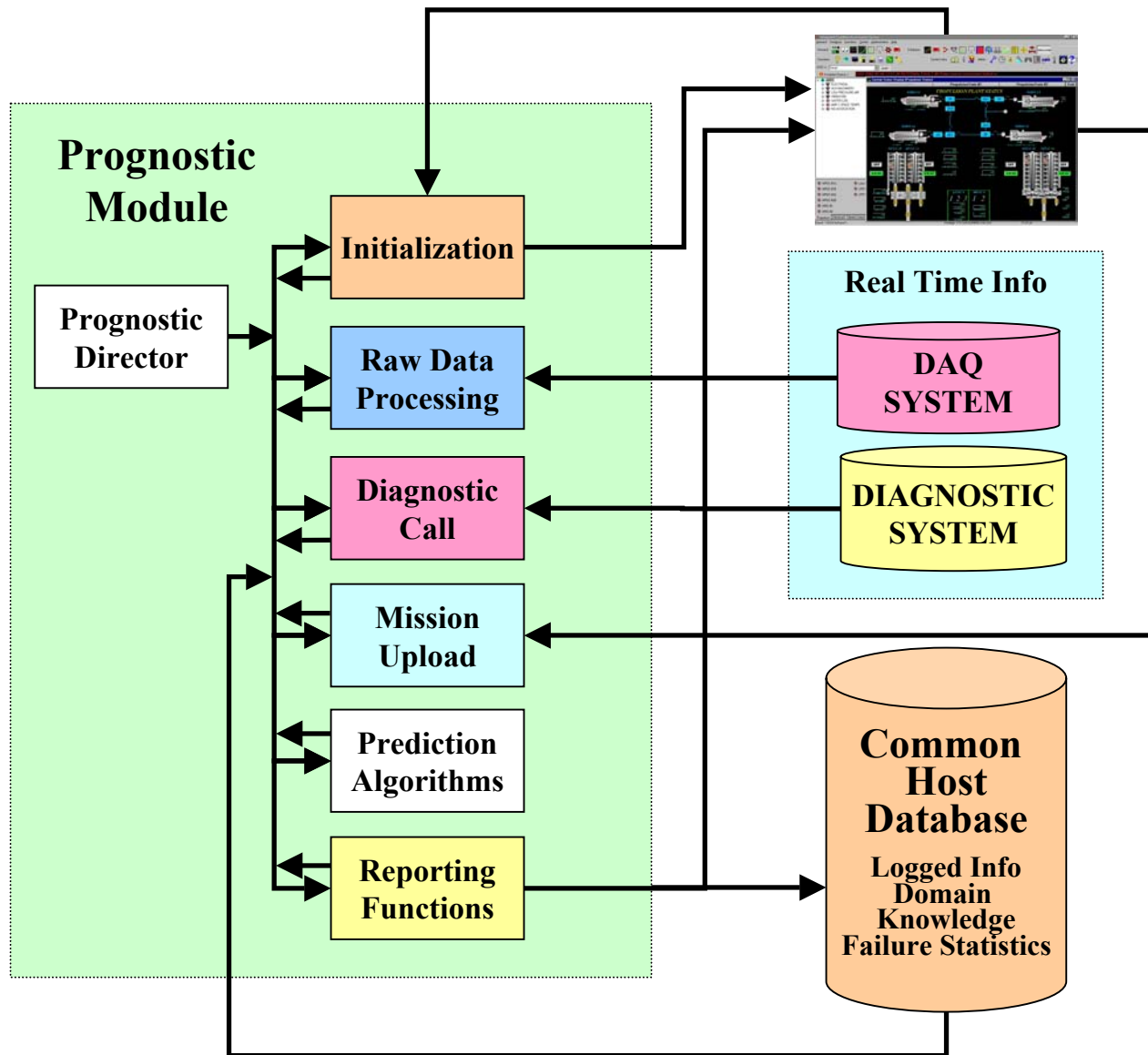
Putting the Pieces Together



- Depicts connections and communications between the existing elements and system enhancements
- Proprietary interfaces or OSA-CBM middleware to “glue and hook” modules together
- HSI module separate in functionality
 - Use existing proprietary interface or OSA compliant HSI module

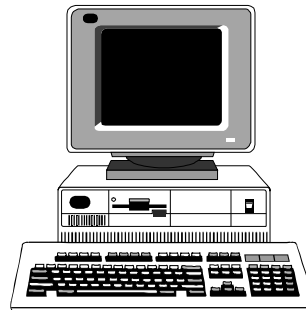


Architecture Development Overview



Software Requirements

- Open Systems Environment
- Interface With ICAS
- Modularity/Reusability
- Multi-platform Use
- Operation in Multiple Modes
- Robustness
- Performance
- Logging and I/O



Trade Study: Programming Languages

Design Choices		SUN JAVA (exe)			
	Req. Weight	PROS	CONS	Score	Pts
<u>Open Systems Environment</u> The module shall define its interfaces for an open systems environment as defined by MIMOSA, OSA CBM and derivative Standards	20	Supported classes from SUN and 3rd party vendors allows easier interfacing with middleware (CORBA, XML, JAVA-RMI)	Bridging will be needed for DCOM	4	16
<u>Interface With ICAS</u> The module developed in this project will interface with ICAS. Therefore it will be written to operate in Windows 98/NT.	15		Unknown at this time.	0	0
<u>Modularity/Reusability</u> The CSCI shall be modular to adapt to the end-use constraints for execution speed, response time, and throughput.	12	Because of JAVA's more pure OOP nature, modularity will be high		4	9.6
<u>Multiplatform Use</u> The module should be able to run in multiple environments.	15	Java programs are compiled into machine-independent bytecodes; they run consistently on any Java platform	Performance suffers from added portability. Java. Compared to C Java is relatively unproven in embedded systems.	3	9

- Comparison of Sun Java, J++, C++, and JNI (executables and shared libraries)
- Pros and Cons defined with respect to PEDS requirements
- Weights assigned for each developed requirement and scores compiled
- Using specific environments/languages to develop different modules

Middleware Implementation Study

	COM/DCOM/COM+	CORBA	Java Platform	Web/HTTP
Cross-language support	+	+	-	+
Cross-platform support	-	0	0	+
Server Components	+	+	+	+ Web servers
Networking	+ DCOM	+ IIOP	+ RMI/IIOP	+ HTTP
Transactions	+ MTS, DTC	0 Implementation Dependent	0 API only	-
Messaging	+ MSMQ, queued components and events in COM+	0 Implementation Dependent	0 API only	-
Naming	0 registry + ADS in COM+	+ available in all implementations	0 JNDI - API and reference implementation	0 DNS only
Product Maturity	+ COM/DCOM 0 COM+	+	0 J2EE	- new technology for distributed systems
Vendor Outlook	+ Microsoft	- some companies abandon the technology	+ Sun	- not clear for distributed systems

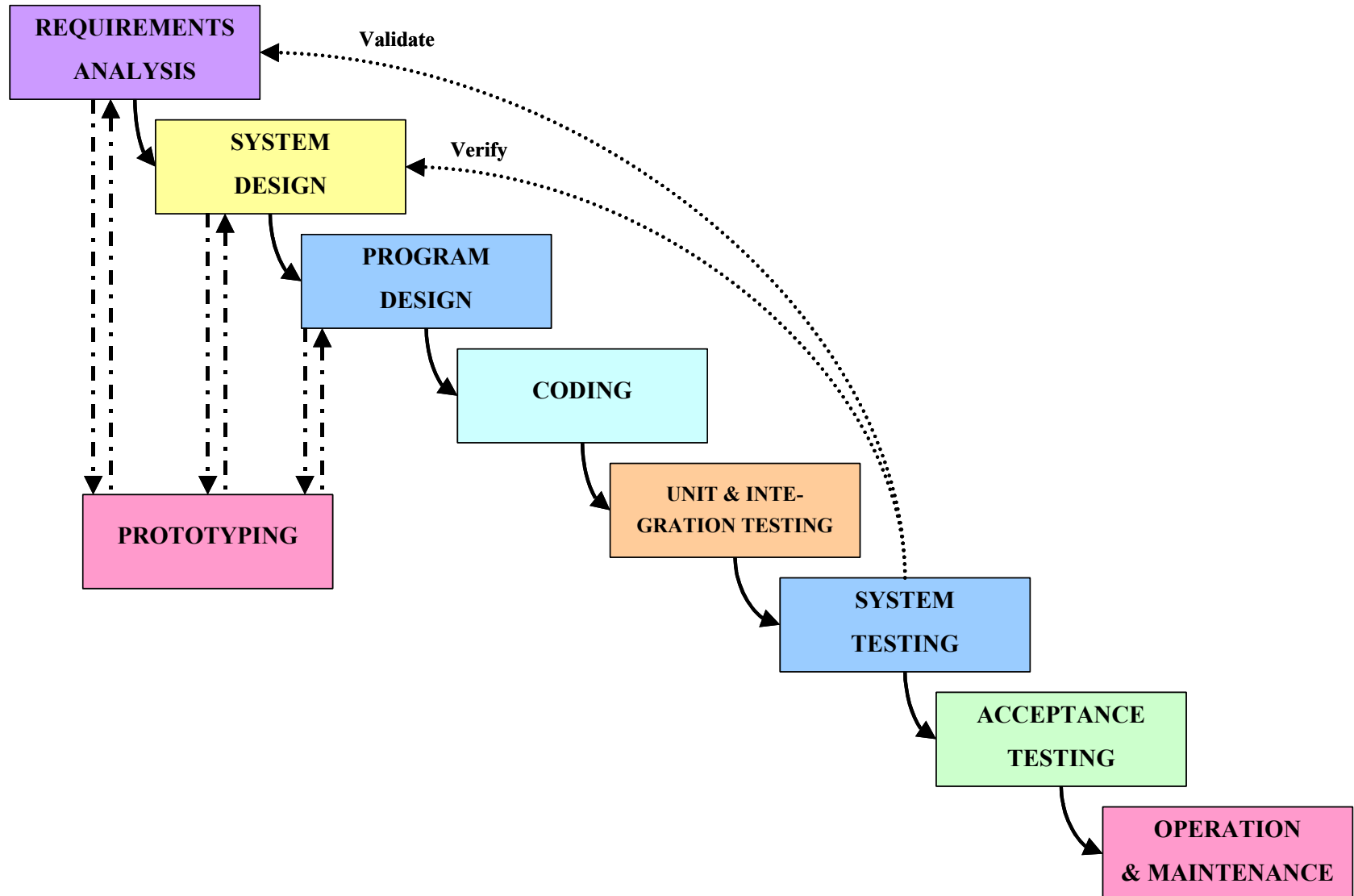
- +** Satisfactorily Implemented
- Partially Implemented
- 0** Not Sufficiently Implemented

Cervinka, O., Bezdicek, J., and Hejda, P., "Middleware for OSA-CBM", Jan 18, 2000

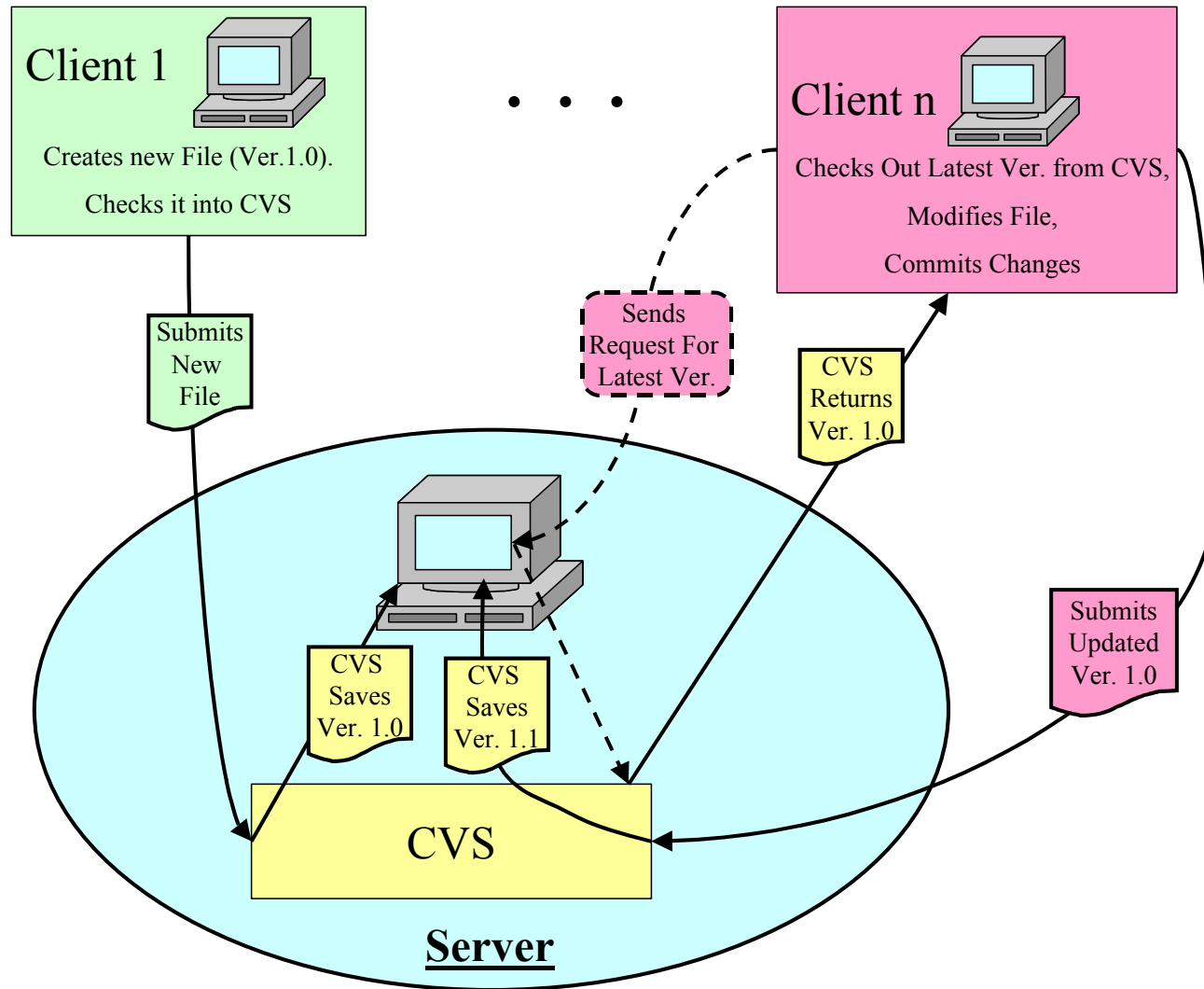
Implementation Approach

- C++ (dlls), Java (exe), and JNI will be used
- C++ (dlls) will be used for modules planned for ICAS integration
- JNI will be used to explore the advantages and disadvantages of this approach
- XML will be used as “middleware” technology
- Select specific bridges to demonstrate
 - Modules will not be expected to interface with every possible middleware

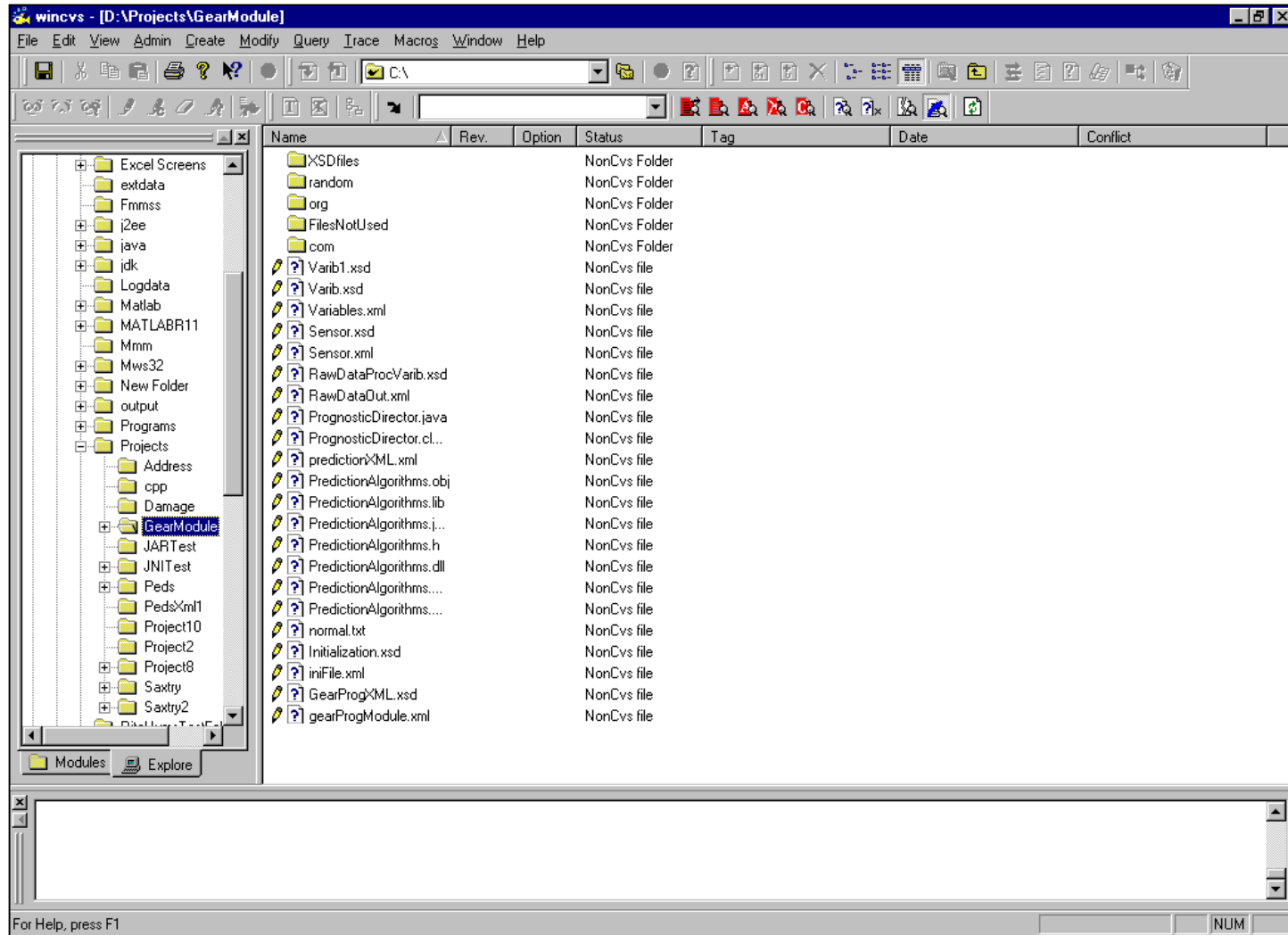
Module Development Process



Document Version Control



WinCVS





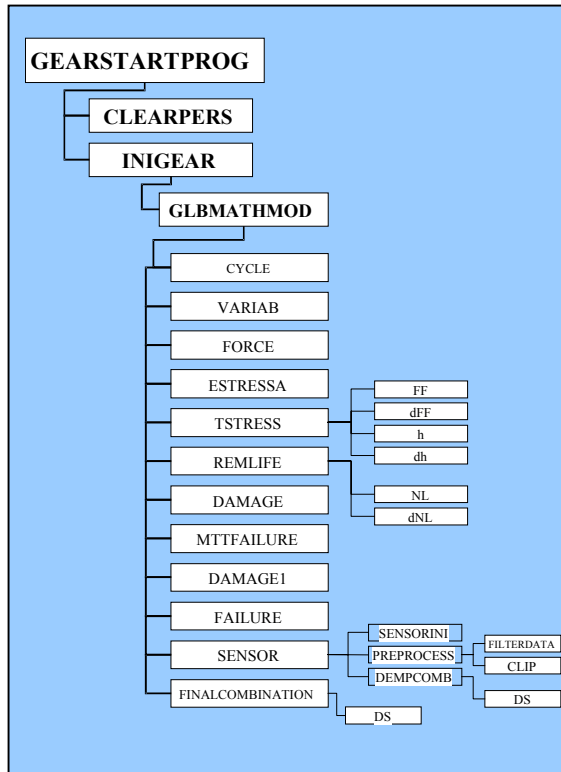
Specific Module Development Status

Specific Module Development

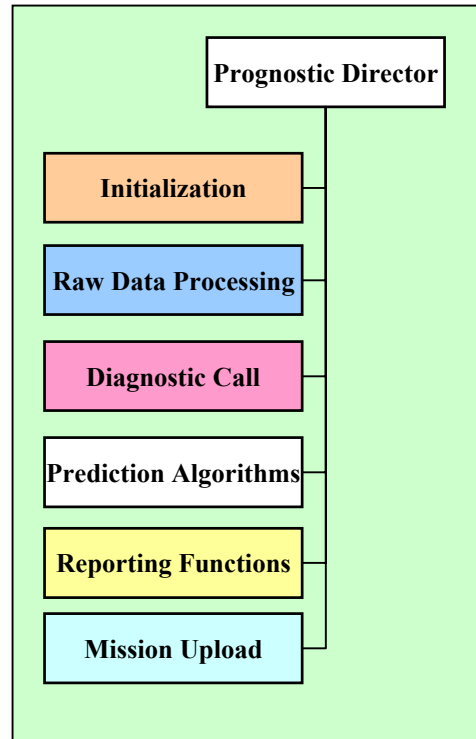
- Developed PEDS Prognostic Architecture implemented on specific modules
 - Power Transmission Component Failure, Diesel Engine Performance/Component, Gas Turbine Performance, and Gas Turbine Component
- Simple Graphical User Interface module developed to demonstrate outputs
- Modules developed using a number of programming environments
 - C++ dlls, Java (Sun) exe, and JNI exe
- Predominately use XML and maybe CORBA middleware

Translating Into Prognostic Elements (PTC Example)

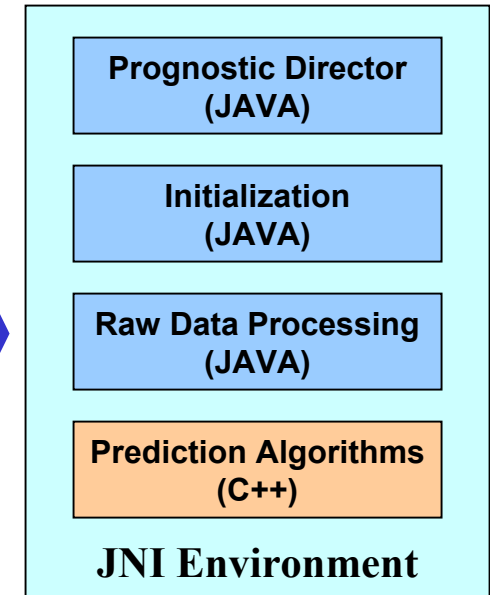
Engineering Code



Module Architecture



Module Coding



PEDS Module w/ XML implementation

Power Transmission Component Prognostic Module

PTC Module Version 1.0.0

Prognostic Director (Java)

```
import com.impact.RawDataProc.*;
import com.impact.Initialization.*;

public class PrognosticDirector
{
    public static void main(String[] args)
    {
        String dataFileName = null;

        //get data for the first run
        dataFileName = Initialize.initializeData();

        while (dataFileName != null){
            //output XML
            dataFileName = Initialize.initializeData();

            RawDataProcessing.RawData(dataFileName);

            // Call the PredictionAlgorithms class in every case
            PredictionAlgorithms.CallC();

            // get data
        }
    }
}
```

Prediction Algorithms (C++)

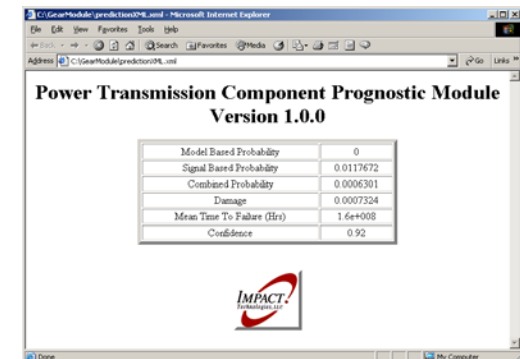
```
//Included for JNI
#include <jni.h>
#include "PredictionAlgorithms.h"
#include <stdio.h>
#include <ctype.h>
```



```
C:\WINNT\System32\cmd.exe - java PrognosticDirector
GEAR TIME94.5389
Left XML IN in C++
Entered Damage
Left Damage
Entered meanLineIoFailure
TIME: 1.4e+002
Left meanLineIoFailure
Entered Failure
CombModeProbability 0
CombModeUncertainty 0.000314263
Left Failure
Entered deepComb
Entered denesterShafer
Left denesterShafer
Left deepComb
Entered finalCombination
Entered denesterShafer
Left denesterShafer
0.000630131
1.2634e+002
Left finalCombination
Entered XML printing...Exited XML printing...Exited the C Co
```




HSI Version 1.0.0



Power Transmission Component Prognostic Module Version 1.0.0

Model Based Probability	0
Signal Based Probability	0.0117672
Combined Probability	0.0006301
Damage	0.0007324
Mean Time To Failure (Hrs)	1.6e+002
Confidence	0.92



PEDS Prognostic Module

Prognostic Director

Initialization

Raw Data Processing

Diagnostic Call

Mission Upload

Prediction Algorithms

Reporting Functions

XML
Logs

Prediction
Log

Alert Log

XML Log: Prediction Algorithm

```
<?xml version="1.0"?>
<?xml-stylesheet type = "text/xsl" href = "PredOutFormat.xsl"?>
<PredictAlgOut>
  <modelBasedProb>1</modelBasedProb>
  <signalBasedProb>1.08965e-005</signalBasedProb>
  <combinedProb>0.000109856</combinedProb>
  <Confidence>0.000109856</Confidence>
  <mttf>-40843.7</mttf>
  <damage>145.275</damage>
</PredictAlgOut>
```

Prediction Log

Date/Time	<u>P(Failure)</u>	<u>MTTF(hrs)</u>	<u>Conf</u>
3/3/02 13:01:05	0.051	3200	0.75
3/3/02 14:02:03	0.076	3000	0.82
3/3/02 15:03:08	0.102	2600	0.91
3/3/02 16:04:07	0.256	2700	0.95

Alert Log

Date/Time	<u>Alert</u>
3/3/02 14:02:03	High vibration alert
3/3/02 16:04:07	P(Failure) reached warning level

Power Transmission Component Prognostic Module

C:\GearModule\predictionXML.xml - Microsoft Internet Explorer


File Edit View Favorites Tools Help

Back Forward Stop Home Search Favorites Media Print Mail News RSS

Address C:\GearModule\predictionXML.xml Go Links >>

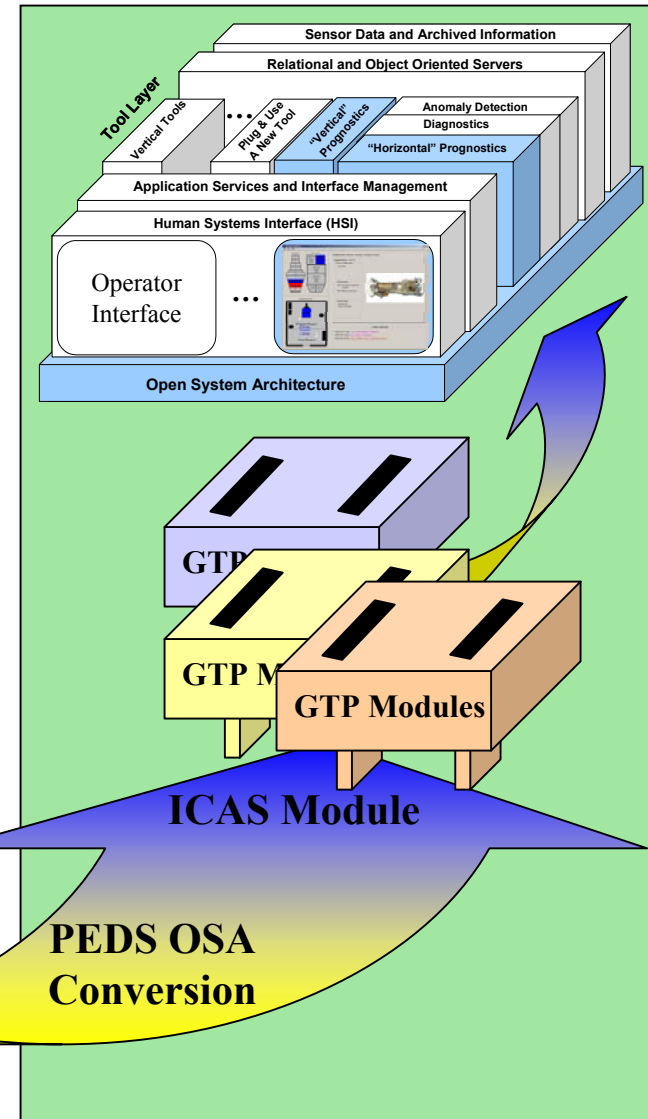
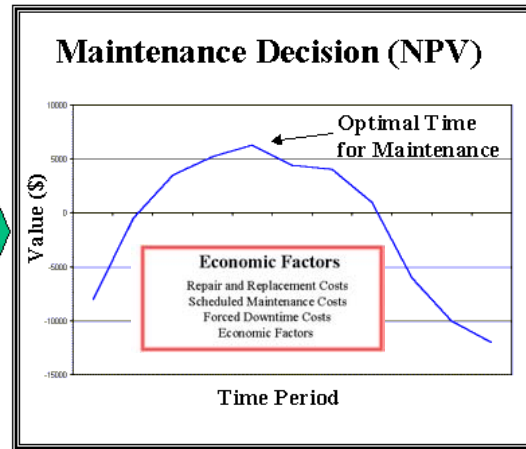
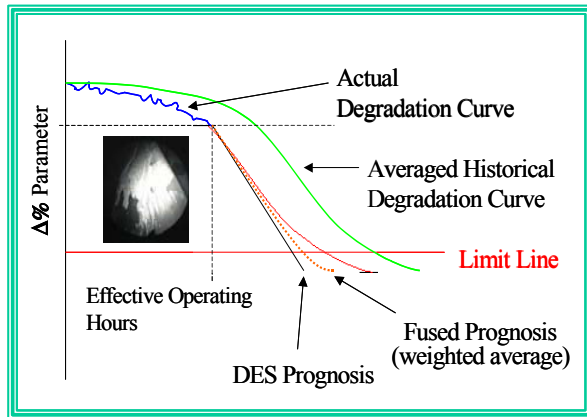
Power Transmission Component Prognostic Module Version 1.0.0

Model Based Probability	0
Signal Based Probability	0.0117672
Combined Probability	0.0006301
Damage	0.0007324
Mean Time To Failure (Hrs)	1.6e+008
Confidence	0.92



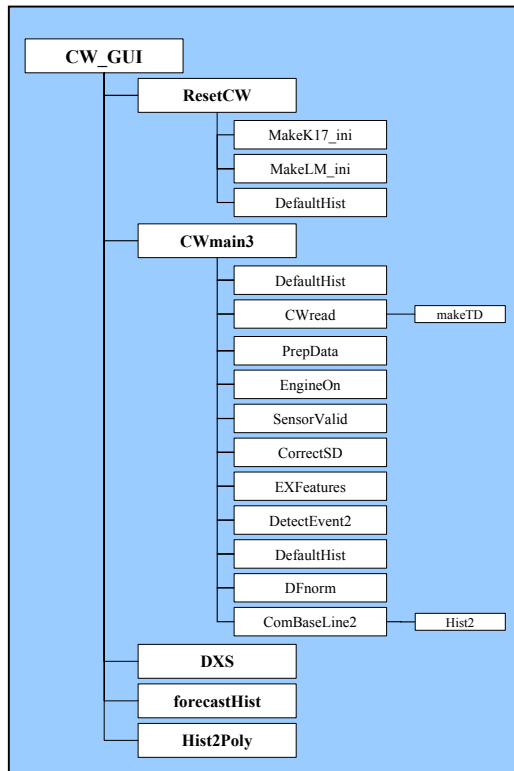
Done My Computer

Gas Turbine Generator Performance Prognostics

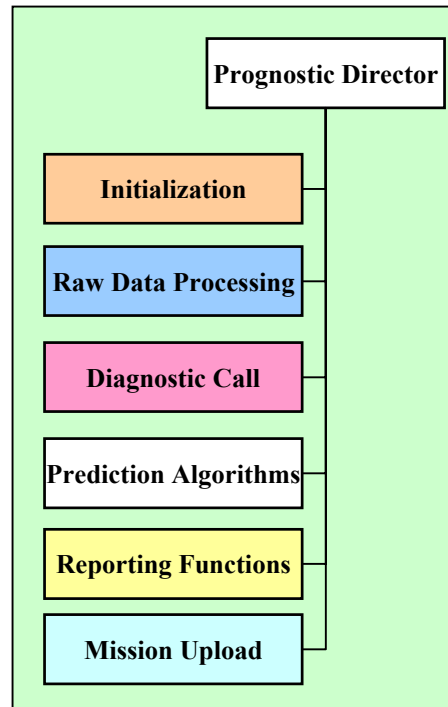


Gas Turbine Performance Prognostics Module

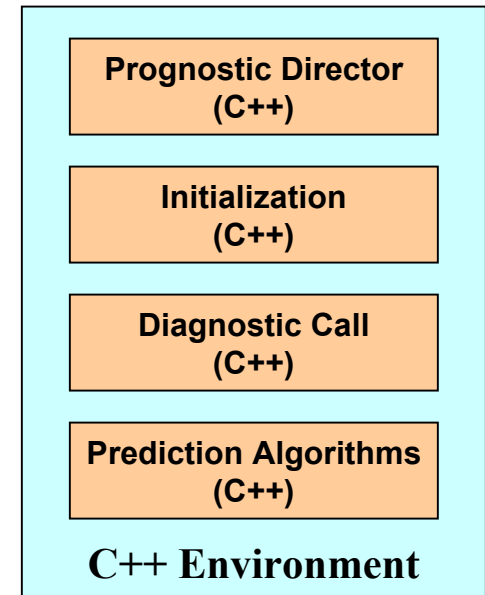
Engineering Code



Module Architecture



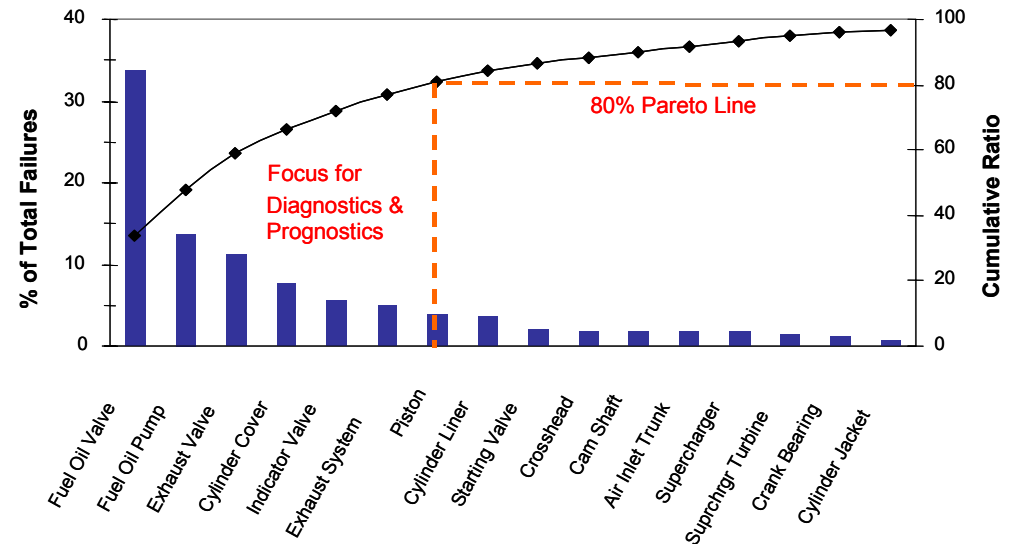
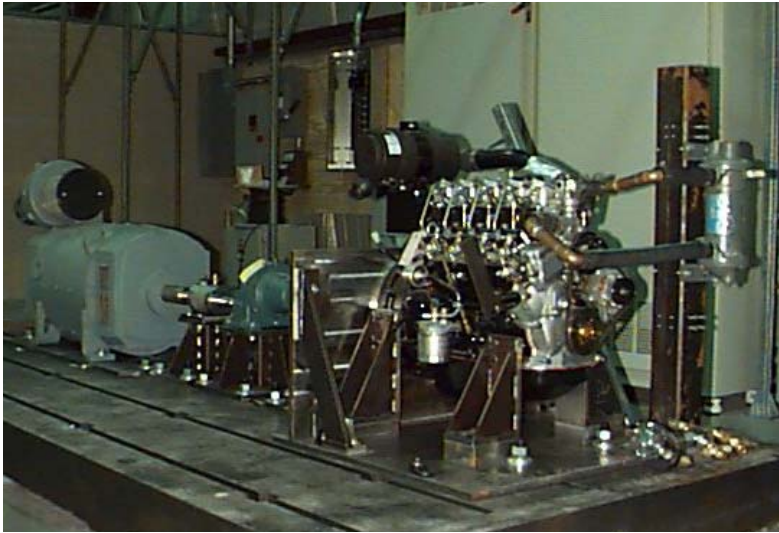
Module Coding



dll

PEDS Module w/ XML implementation

Diesel Engine Prognostics

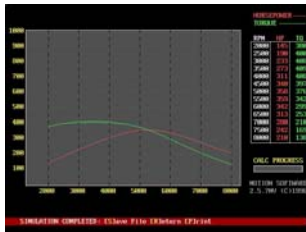


- **Shaft Torsional Vibration:** using high resolution encoders and torque cells
- **Cylinder Pressure Analysis:** monitor combustion process using dynamic pressure
- **Vibration Analysis:** extract features from seeded fault data sets
- **Performance Analysis:** flows, temperatures, and temperatures

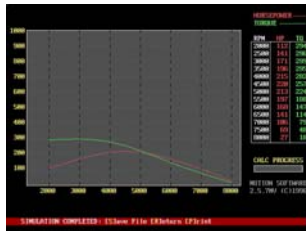
Diesel Engine Performance Prognostics Module

Parameter Shifts over Time

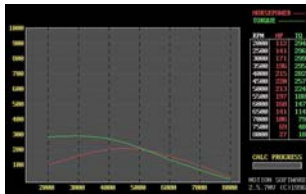
HP



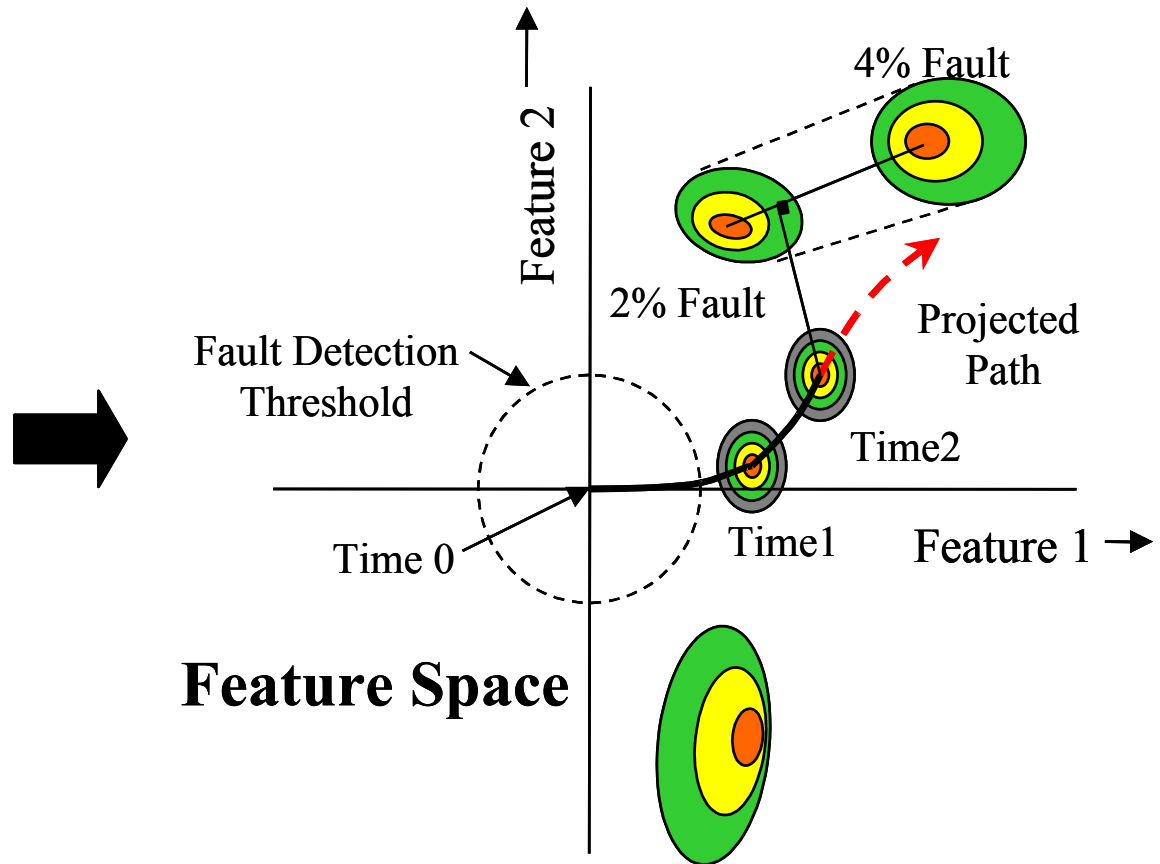
TQ



RPM

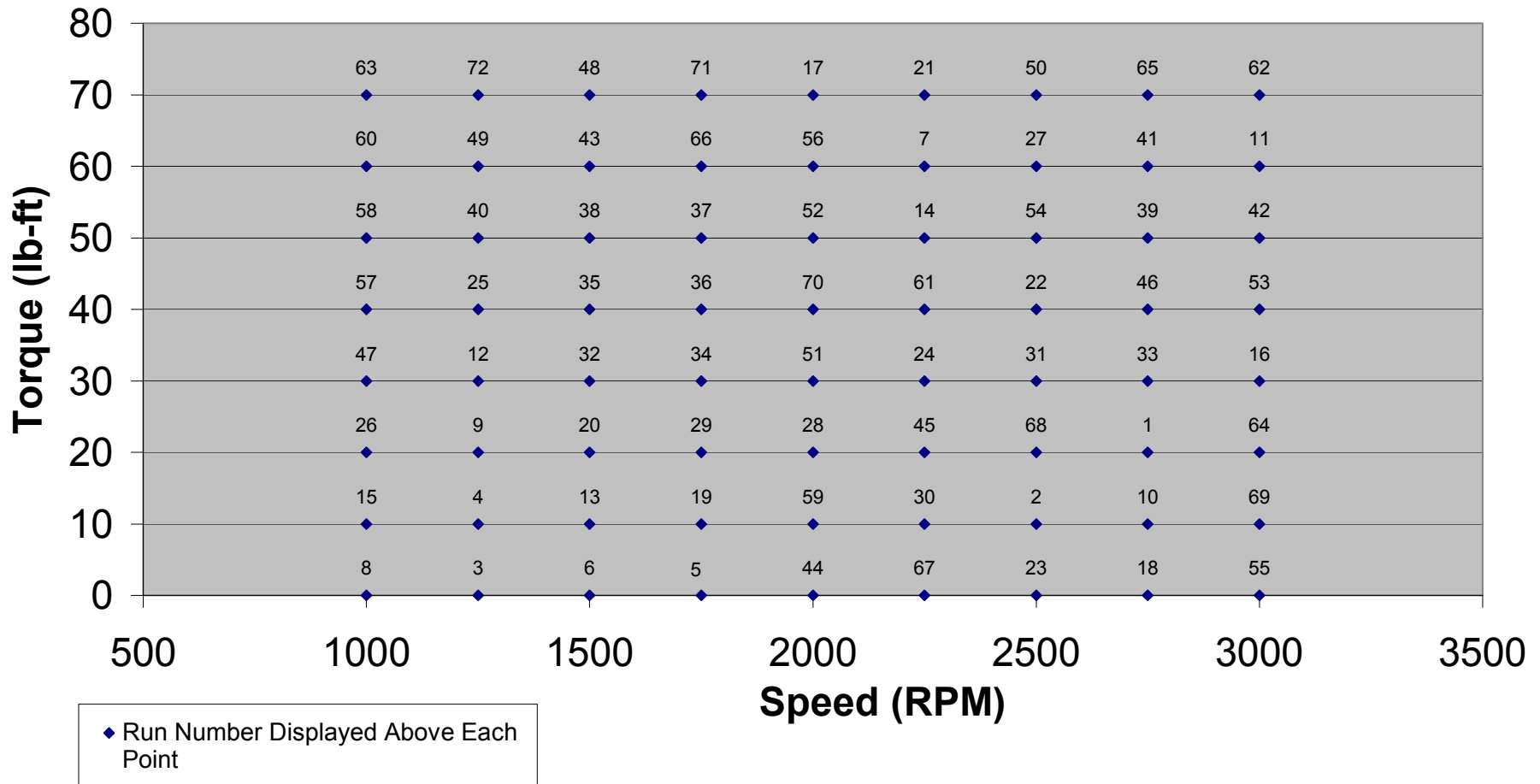


Track and Predict Path



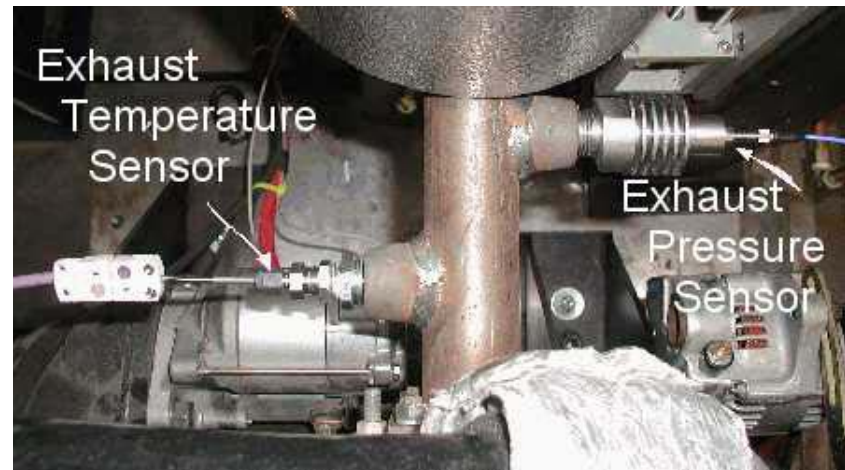
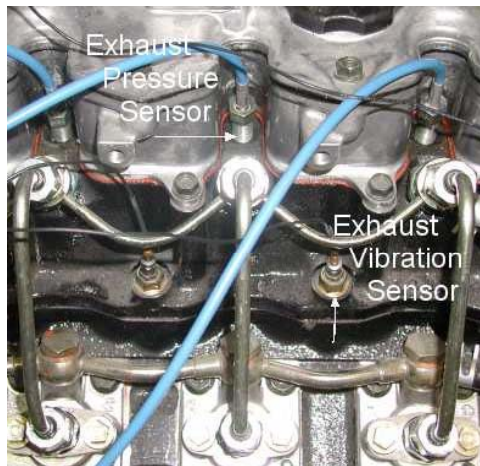
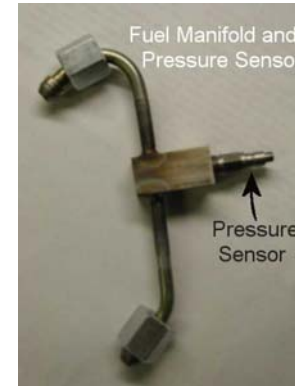
Developing Performance Map

PSU ARL Diesel Engine Test Plan

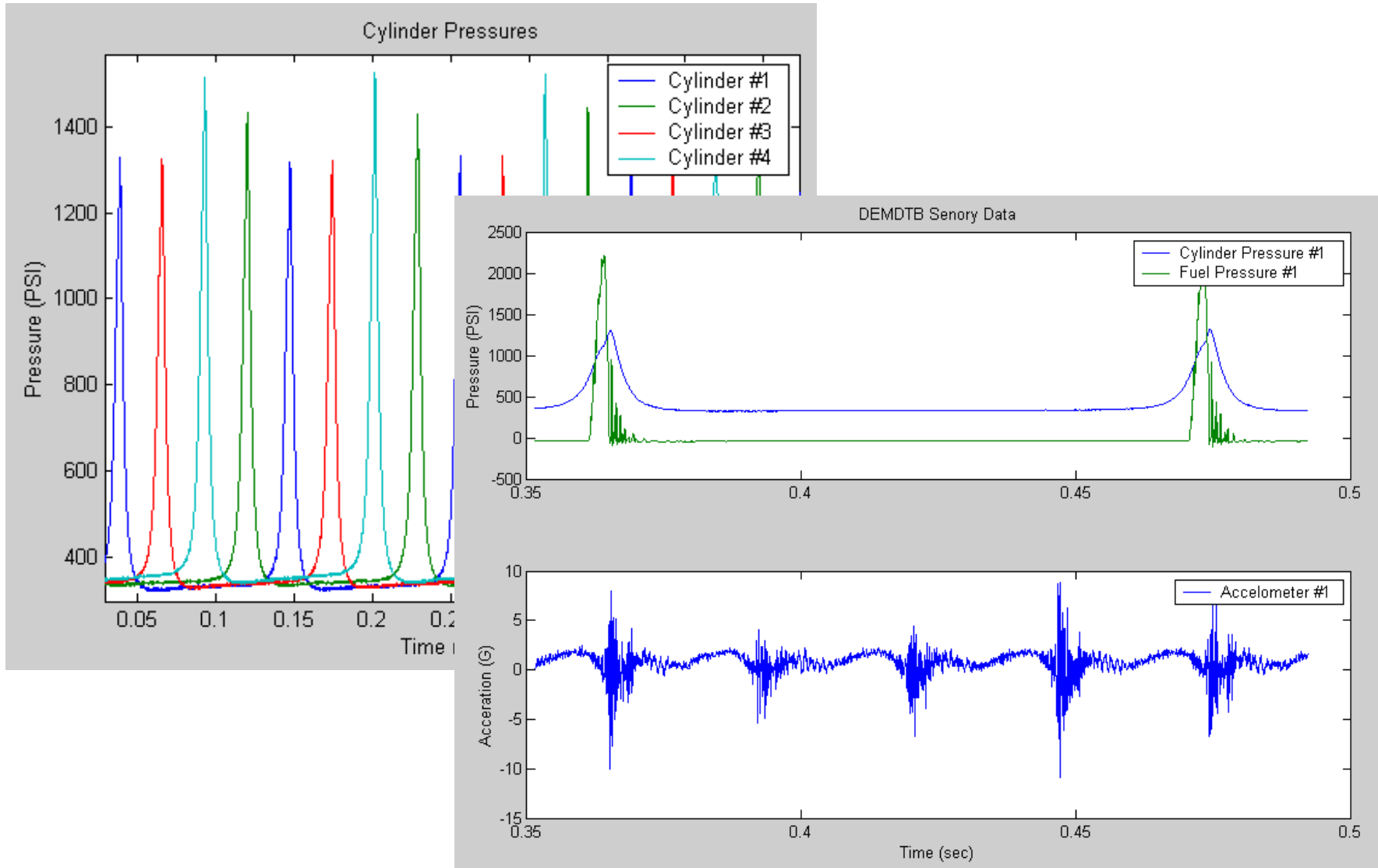


Selected Seeded Fault Tests

1. Clogged Injector
2. Loss of Compression
3. Intermittent Fuel Pump



DEMDTB Sensory Data





Module Integration and Demonstration

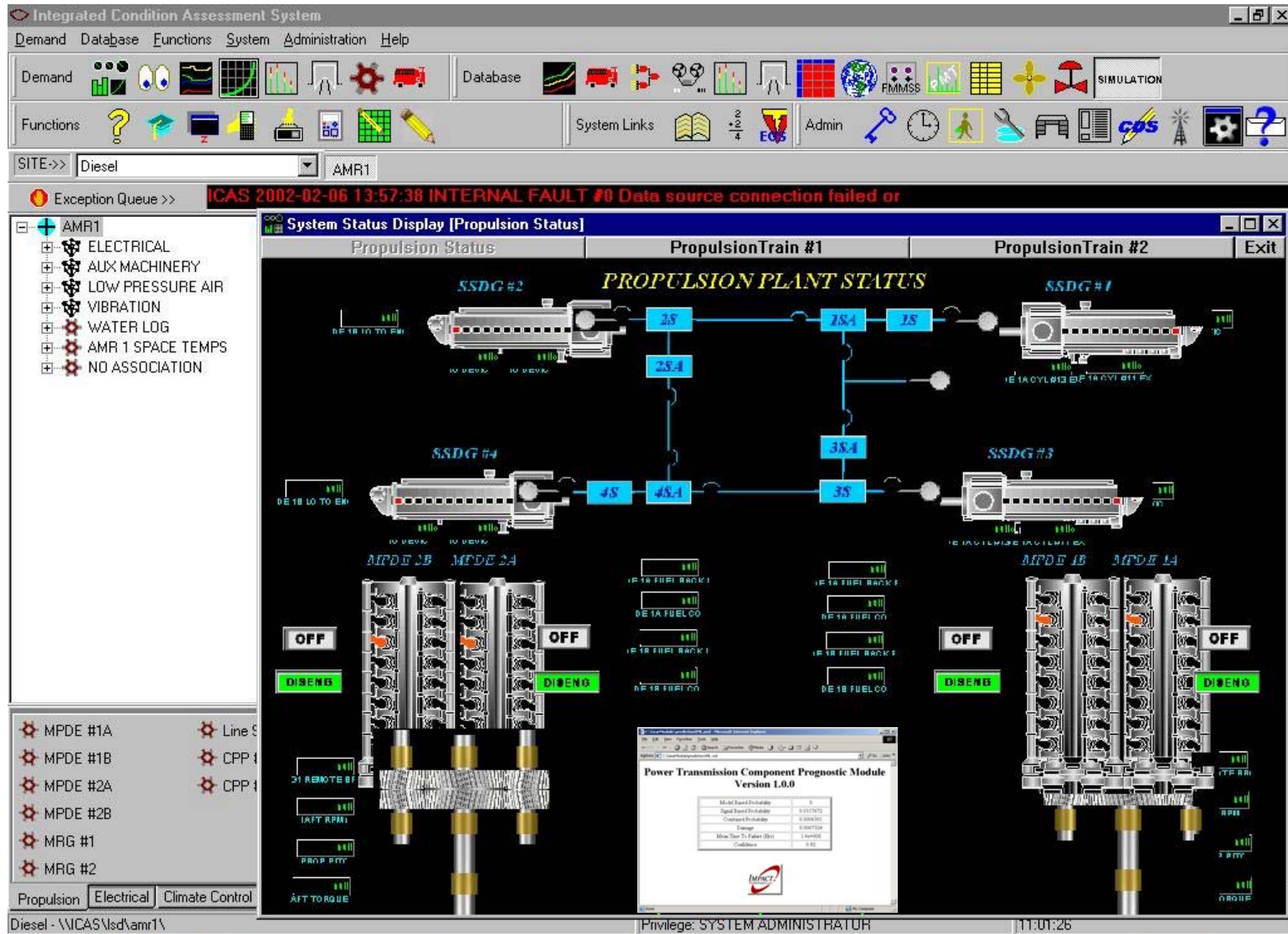
Transition Opportunities

- ICAS Updates
- Gas Turbine CBM Program
- Smart Component/Machinery Efforts
- Machinery and DEC Upgrades

ICAS Integration

- Obtained 2 Configuration Data Sets (CDS)
 - Diesel Engine (LSD-45) and Gas Turbine (CG-47)
 - Still need a few data sets to populate for demo
- Planned ICAS Training Course with Navy personnel (Chris Savage/Russ Leinbach)
- Plans to obtain Demand Data Interface Document (Chris Savage)
 - Explore requirements to interface with ICAS
 - Set-up Prognostic modules as Pseudo-sensors

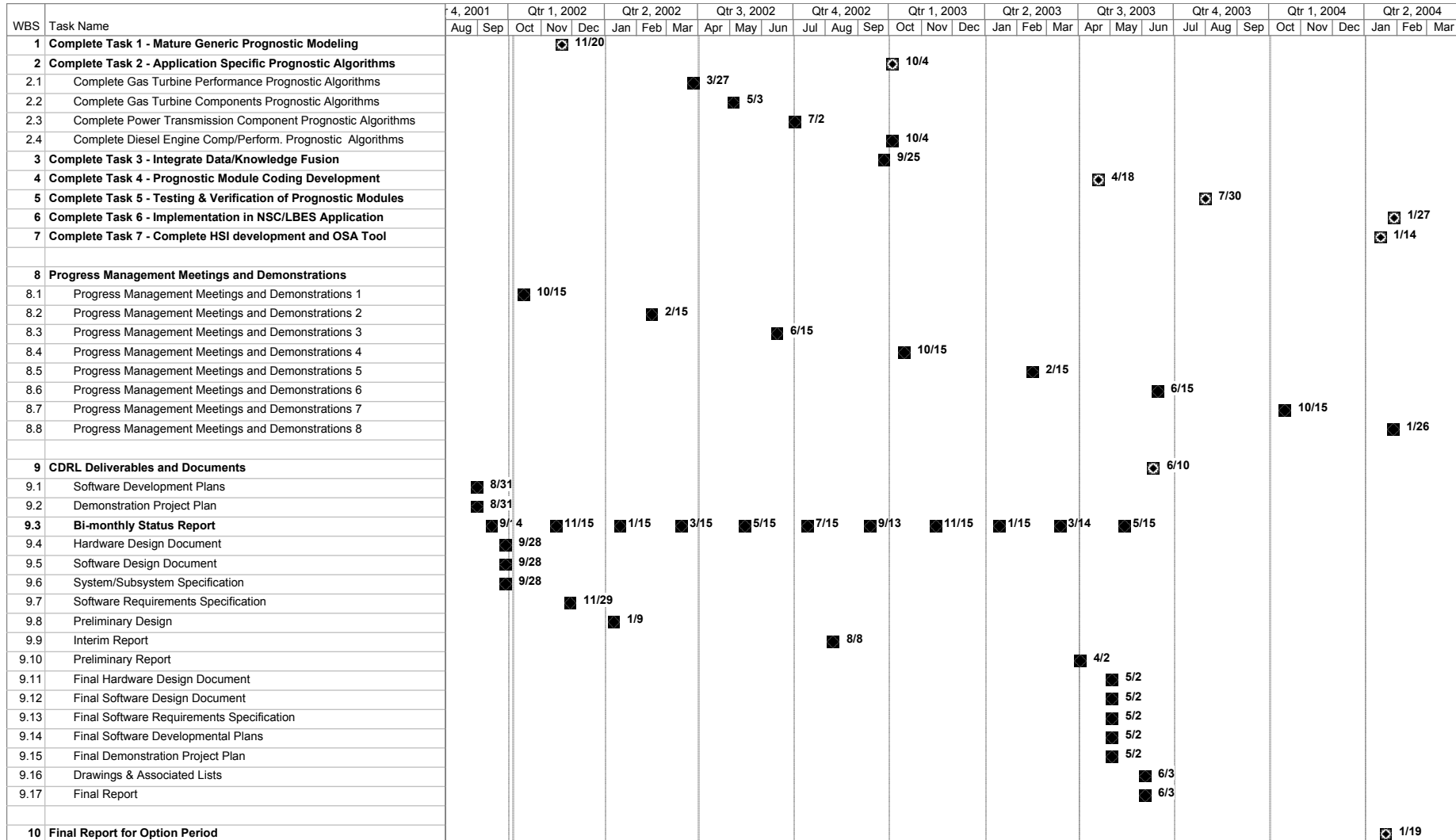
ICAS Integration





Deliverable Status and Near-Term Milestones

Milestone Chart



Status and Upcoming Events

- Working on specific tasks in WBS 1 and 2
 - Matured architecture and implementation approach through prototype development – PTC Module
 - Implementing GTP module as a shared library using leveraged GT CBM development
 - Initiated DE data collection and module approach
 - Investigating ICAS demonstration and other transition opportunities
- Obtain HPT Component Information for Modeling
- Attended OSA CBM Last Milestone Meeting in December 2001
- Met with Jim Spaulding regarding diesel engine testing and followup with Terry Foldes on diesel engine trend analysis program



Status and Upcoming Events (con't)



- Completed first 10 CDRL Deliverables and Documents (WBS 9)
 - Software Development Plan (A008)
 - Program Plan (A006)
 - System/Subsystem Design Description (A011)
 - Software Design Description (A010)
 - System/Subsystem Specification (A007)
 - Software Requirements Specification (A009)
 - Developmental Design Drawings and Associated Lists (A012)
 - Status Reports #1-#3 (A001)

Upcoming Conference Publications

- IEEE Aerospace Conference
 - “Prognostic Enhancements to Diagnostic Systems for Improved Condition-Based Maintenance “
 - March 9-16, 2002
 - Big Sky, MT
- 56th Machinery Failure Prevention Technology (MFPT)
 - “Integrating Prognostic Modules Into Existing Naval CBM Systems “
 - April 15-19, 2002
 - Virginia Beach, VA
- Maintenance And Reliability Conference (MARCON)
 - PEDS Session with 5 papers
 - May 5-8, 2002
 - Knoxville, TN

Summary of Phase II Plans

- Prognostic Module Development for Specific PEO-TSC Applications.
 - Feature-Based and Model-Based Integrated Architecture
 - Prognostic Module Tools and Processes
 - Specific Testing for Diesel Prognostics Development
 - Implementing using OSA CBM Functional Hierarchy
- Identify and Integrate into Selected Naval Ship Application(s).
 - Customize Methodology for Specific Application Integration
 - Integration as ICAS Module or Other Specified Platform
 - Utilize Test and Field Data for Validation & Calibration
 - Build upon existing or use Separate Web-based HSI Concept
- Document Methods, Processes, Lessons Learned for Enhancing Existing Navy Diagnostic Systems